## HELPING AND STIGMATIZATION OF PERSONS WITH MENTAL DISORDERS

by

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#### HELPING AND STIGNATIZATION OF PERSONS WITH MENTAL DISORDERS

BY

#### ERIC KUELKER

A Thesis/Practicum submitted to the Faculty of Graduate Studies of the University of Manitoba in partial fulfillment of the requirements for the degree of

DOCTOR OF PHILOSOPHY

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#### Abstract

Beliefs about the controllability of the cause and of the cure of physical and mental problems have been hypothesized to determine affective reactions, which in turn determine helping intentions toward individuals with these problems (Weiner, Perry, & Magnusson, 1988), such as mental disorder. Other research has shown that knowledge/experience with mentally disordered persons, and perceptions of their dangerousness also influence rejection of persons with mental disorders. These varying beliefs and experiences were combined into a model of stigmatization and intentions to reject mentally disordered persons. The model hypothesized that perceptions of the controllability of mental disorder influences affective responses toward mentally disordered persons. Demographic characteristics of respondents, their prior contact with persons with a mental disorder and perceptions of their dangerousness were also hypothesized to influence affective reactions. In turn, affective reactions were postulated to predict behavioral intentions of assistance or rejection toward persons with a mental disorder. The model, and variants of it, were tested by structural equation modelling on data gathered from a random household sample interview study of 506 Winnipeg residents.

The model was a good fit to the data, with perceptions of the likelihood of harm by persons with a mental disorder being the strongest determinant of affective responses. Beliefs about dangerousness, controllability of mental disorder, respondent demographics and knowledge/experience with persons with a mental disorder also predicted affective responses. Affective responses incompletely predicted intentions to reject, as the previous variables directly predicted intentions to reject. The results present a difficulty to Weiner's (1980, 1993) theory of stigmatization, which states that controllability beliefs predict affective responses, which in turn predict intentions to neglect or help stigmatized persons. Other beliefs and characteristics of respondents and the social context of their interaction with mentally disordered persons may be more powerful determinants of intentions to reject or accept them.

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#### INTRODUCTION

#### **Stigmatization Defined**

Varying attributes between different groups of people are not well tolerated. Attributes that differ from those in the general population, and also are quite undesirable or discrediting, are called stigmas by Goffman (1963). He differentiates among three types of stigmas, the first being abominations of the body, or physical deformities. The second type "are blemishes of individual character perceived as weak will, domineering or unnatural passions, treacherous and rigid beliefs, and dishonesty, these being inferred from a known record of, for example, mental disorder, imprisonment, addiction, alcoholism, homosexuality, unemployment, suicidal attempts, and radical political behaviour" (p. 4). The third type of stigma are the tribal stigma of nation, or race and religion, transmitted through family lines.

Regardless of which type of stigma a person possesses, it intrudes on normal social interactions and may result in rejection, not merely of the stigma, but of the whole person. The person with a deeply discrediting stigma is seen as "quite thoroughly bad, or dangerous, or weak" (Goffman, 1963, p. 3) and open to public mockery, being refused service, etc. Goffman notes that the stigmatized person is seen by others as not fully human, and other imperfections may be attributed to the person in addition to the original stigma. Beliefs spring up to account for the original and the imputed stigmas, explaining their origin and rationalizing the animosity and discrimination against stigmatized persons thus are significantly different from those relating to the normal or general population.

The three components of beliefs, affect, and intended behaviour have been connected within at least two theoretical frameworks. These theories of stigmatization will be explored first. After gaining a general theoretical understanding, specific attention will be turned to one of the stigmatized groups that Goffman explicitly mentions, namely, people with mental disorders. The

beliefs, affects and intentions of non-stigmatized people regarding persons with a mental disorder will be explored in detail. Next, they will then be merged with theories of stigmatization to arrive at a model of the stigmatization of mentally disordered persons that can be empirically tested.

#### Theories of Stigmatization and Helping

#### Weiner's Theory of Helping and Stigmatization

Of the theories that have emerged to predict behaviour toward stigmatized groups, the most well researched is Weiner's (1980a; 1993) attributional theory of help-giving to those in need. Prior research (Barnes, Ickes, & Kidd, 1979) had shown that if a person's need, such as indebtedness, was believed to be attributable to causes beyond his or her control, and which were stable or not likely to change over time, then help-giving to the dependent person was greater than if the cause was either controllable or unstable. Weiner (1980a) added the element of affect to this framework and proposed a cognition  $\rightarrow$  emotion  $\rightarrow$  action temporal sequence to account for help-giving behavior. He proposed that, if people perceive an event where help is needed (e.g., a person falling on the subway), they search for causes to the event (as well as experiencing reflexive approach-avoidance reactions and primary emotions such as fear or startle).

Causes for an event can be placed within three dimensions, the first being whether it is internal or external to the person. To continue the current example, illness would be a cause internal to the person, whereas a sharp lurch by the subway would be external. The second dimension is stability. A stable cause, such as a neurological problem, would affect the person with the same intensity at all times. On the other hand, an unstable cause, such as excessive fatigue, could easily change over time. The third dimension is controllability by the dependent person (cf. Weiner 1985; 1986). Intoxication is controllable, as one can abstain, whereas a brain tumour is uncontrollable, in that one's choices have no impact on its origin. Weiner (1980a) noted that the dimensions of controllability and internality seemed most important in help-giving. He hypothesized that if the cause was seen as uncontrollable and internal (e.g., brain tumour), then affects of pity and sympathy would be aroused. If the cause was controllable and internal (e.g., drunkenness), then emotions of disgust and anger would emerge. If the cause was external, then the person would be seen as a victim, with pity and sympathy aroused to them. The type of affect would then determine action, with sympathy and pity leading to help-giving, disgust and anger leading to neglect.

Weiner (1985) later argued that the causal dimension of stability was also important. He stated that, if the cause of the need was seen as both stable and uncontrollable, help would be more likely to be extended, because the needy person would be perceived as unable to help him or herself in the future as well as the present. This leads to the curious conclusion that stigmas with unstable causes (i.e., that are changeable) are less likely to evoke help in changing the cause of the stigma (Weiner, Perry, & Magnusson, 1988). Conversely, people who have stigmas with a stable cause, such as blindness, would be more likely to be helped.

Weiner et al. (1988) also theorized that the type of help would be dependent on, and congruent with, the controllability and stability of the stigma. For example, psychotherapy would be recommended for people with mental-behavioral stigmas such as addictions, which are generally seen to have controllable, unstable causes. Presumably, psychotherapy would help people control and reverse their addictions. Alternately, welfare or job training would be recommended for people with physical handicaps, which have uncontrollable, stable causes. Such interventions would help people cope with these permanent stigmas, rather than try to control or reverse the stigma.

In Weiner's (1993) analysis of reactions to people with stigmas, judgements of responsibility have been added to his theory. The exact sequence consists of an attribution of causal controllability, followed by judgements of responsibility, which lead to emotion and then behaviour in a linear fashion. However, the addition of judgements of responsibility does not add much explanatory power to the theory and may violate the quality of theoretical parsimony. Weiner (1993) acknowledges that perceptions of responsibility are largely determined by attributions of causal controllability. Furthermore, he divides the two concepts on the basis of uncommon instances, such as when "a cause is controllable and the act intentional, but responsibility is not inferred because of a moral justification.... or because of other mitigating circumstances (e.g., an inability to distinguish right from wrong)" (p. 959). The uncommonness of these instances is apparent when one tries to think of a moral justification for a stigma.

The rather hair-splitting nature of these distinctions between controllability and responsibility is apparent in empirical results. Judgements of controllability of cause and responsibility correlated .75 in one study (Reisenzein, 1986), which the researcher took to indicate that both measured the same underlying construct of controllability. Weiner himself has equated responsibility with controllability, using the terms interchangeably (e.g., Weiner, 1980a; 1986; Weiner et al. 1988) and using responsibility as a measure of controllability (Schmidt & Weiner, 1988). If the distinction is not salient to a researcher who has thought carefully about the issue, it is unlikely to be very meaningful to the layperson.

For the purposes of this study, the controversy about judgements of responsibility will be side-stepped by using the earlier version of the theory (Weiner, 1986), in which attributions of controllability lead to affect, and affect in turn determines behaviour.<sup>1</sup>

#### Research on Weiner's Theory of Helping

The overdrawn distinction between controllability and responsibility should not overshadow the general status of Weiner's (1986; 1993) theory. Considerable empirical support has accumulated for various aspects of the theory. The research relevant to the link between attributions and emotions will be reviewed first. This will followed by research that has assessed

<sup>&</sup>lt;sup>1</sup> The present study is based on theoretical statements by Weiner available to the author by early 1995. Subsequently, a book appeared by Weiner (1995), in which he acknowledged that there are a multitude of determinants of help-giving other than attributions of controllability, such as kinship ties between helper and helped, etc. He acknowledged that he was neglecting these other determinants in order to focus on one process to achieve conceptual advancement. The current study scrutinizes some of these other determinants below.

the link between attributions and behavioral intention or action, without the intervening variable of emotion. There is no available research on the link only between emotion and behavioral intention. Finally, research which has tested the entire attribution  $\rightarrow$  emotion  $\rightarrow$  behavioral intention sequence will be evaluated.

<u>Research on attributions  $\rightarrow$  emotions.</u>

The link between attributions of controllability for a stigma and affective reactions to stigmatized people has been explored in a number of simulation studies in which subjects respond to written vignettes about stigmatized or needy people. Only studies which assess an individual's personal control over a stigma will be included, as some studies examine other people's (e.g., doctors) control over the stigma (Meyerowitz, Williams, & Gessner, 1987). These simulation studies will be reviewed before examining the more realistic tests of theory provided by assessing real-life situations.

The first simulation that looked at attributions causing emotions was a study of reactions to obese women (DeJong, 1980). Obesity was associated with perceptions of lack of self-control and self-discipline and as predicted, over-weight people were disliked more than normal weight people. However, if an obese target person could attribute her excess weight to an uncontrollable cause, such as a thyroid condition, then she was liked significantly more than other obese people, who were assumed to be overweight because of controllable causes.

The attribution  $\rightarrow$  emotion relationship also holds for social status stigmas. In one study, heterosexuals who believed that homosexuality was caused by controllable factors had more emotional discomfort with homosexuals and more negative attitudes toward them than people who believed homosexuality was caused more by uncontrollable factors (Whitley, 1990). Attribution  $\rightarrow$  emotion links may be stable across cultures as well. In a study of Indian students, the link between uncontrollable cause for a problem and sympathy, and between controllable cause and anger, existed in different experimental scenarios (Dalal & Tripathi, 1987).

The attribution  $\rightarrow$  emotion link appears to exist even in young children, although only partially. Children age five and older show increased dislike of obese, aggressive, or learningdisabled children when the targets are attributed increased responsibility for the cause of their problem (Sigelman & Begley, 1987). Dislike was lowest when the target child was described as low in responsibility for their problem, such as obesity due to a thyroid problem. Dislike was highest when the target child was described as highly responsible, such as obesity due to overeating. Wheelchair-bound children were liked equally, regardless of whether they had high or low responsibility for the cause of their condition, or whether no information was given. They were also liked more than children with other stigmas, especially aggressive children, who were liked least. The finding that the nature of the problem determined responses independent of responsibility for the problem is interesting. The authors hypothesized that children have limited exposure to wheelchair-bound children, who show only physical limitations. However, children have much more exposure to aggressive children, who violate moral norms and pose a danger to others. Thus, children have much more exposure to the negative social consequences of some stigmas, such as aggressiveness or obesity, and may be responding on the basis of this greater exposure. Additionally, children may react negatively when they are at risk from the direct harmful effects of a stigma, regardless of responsibility for its cause.

A follow-up experiment to the above study, however, demonstrated a problem with the attribution  $\rightarrow$  emotion linkage (Sigelman, 1991). Explanations that a target child's physical disability was from uncontrollable factors failed to increase liking for the stigmatized child, even though the children understood the causal information and reduced the blame ascribed to the stigmatized child. The amount of liking was a function of the nature of the stigma, with a disabled child liked more than an obese child, regardless of the cause of the disorder. The result is that, if children are told another child's stigma is from controllable causes, disliking and social rejection increase. On the other hand, if the children are told the stigma is from uncontrollable causes, the

stigmatized child is not liked more than if children are told the stigma came from controllable causes, even though Weiner's (1986; 1993) theory predicts affects such as liking, sympathy, and pity should increase when a stigma is attributed to an uncontrollable cause. The author (Sigelman, 1991) noted that affective responses to stigmatized children were fairly positive, even before information on causality was presented. Thus, there may have been little room for more positive affective responses.

The simulation studies reviewed above generally support the attribution  $\rightarrow$  emotion link. This link also has been found in real life, in which people respond to an actual person with a stigma. Mothers of children with nocturnal enuresis show the attribution  $\rightarrow$  emotion connection. reporting more anger and less tolerance to their child as perceptions of controllability of enuresis increase (Butler, Brewin, & Forsythe, 1986). More importantly for the present study, the influence of attributions also emerges in families of persons with a mental disorder. Hooley, Richters, Wientraub, and Neale (1987) hypothesized that positive and negative symptoms would be viewed as differing in controllability by spouses of disordered people. In this context, negative symptoms are deficits in normal functions, such as flattened affect, apathy, and social withdrawal. It was hypothesized that these symptoms would be attributed more to the disordered spouse's control, as he or she had been able to exhibit more normal behaviour in these areas in the past. The lack of these behaviors may be seen as intentional and controllable and, thus, be associated with more marital distress. So called positive symptoms, such as hallucinations, delusions, and other behavioral excesses were hypothesized by Hooley et. al. to be attributed more to the illness (an uncontrollable cause) than to the disordered spouse's volition and, therefore, to be associated with less marital dissatisfaction. Deficits in impulse control, although resulting in the same behavioral excess as do positive symptoms, were hypothesized to be associated with more intention and controllability than positive symptoms and, thus, to be associated with more marital dissatisfaction.

The hypotheses were supported in a sample of new admissions to four psychiatric hospitals whose primary diagnoses was neither organic psychosis or substance abuse, and who had school-aged children (Hooley et. al., 1987). Symptom type was assessed by trained interviewers who gave a structured interview that measured current psychopathology and psychiatric history. The spouses of positive symptom patients reported significantly higher levels of marital satisfaction on a self-report questionnaire than spouses of either individuals with negative symptom or impulse control deficits, who did not differ from each other. The greater marital satisfaction associated with positive symptom patients is surprising because they were rated as <u>lower</u> in overall functioning than the other two patient groups. Unfortunately, this study did not assess the spouses' ratings of symptom controllability and, thus, is not a direct test or application of Weiner's (1986; 1993) theory.

A more direct application of Weiner's (1986; 1993) theory deals with levels of expressed emotion (EE), which entails emotional responses of criticism, hostility, or emotional over involvement by family members toward mentally disordered patients. Lopez and Wolkenstein (1990) theorized, but did not present evidence, that family members who are high in EE would view the disorder and related behaviors as being under the personal control of the patient. Consequently, they would feel disgust and anger toward the patient and give low levels of support or help. In contrast, family members low in EE were theorized to see the disorder as uncontrollable by the patient, feel sympathy or pity and, therefore, give more support, help and tolerance to the patient. The overall importance of EE as a construct has been consistently noted, as high levels are associated with increased risk of relapse from schizophrenia and other disorders (Kavanagh, 1992).

A more refined attributional analysis of EE (Brewin, MacCarthy, Duda, & Vaughn, 1991) also theorized that critical and/or hostile relatives of persons with a mental disorder would attribute the illness more to personal and controllable factors. High EE relatives were also

thought to make attributions with fewer causal elements, because attributional complexity and uncertainty reduce emotional intensity. When Brewin et al. analyzed the spontaneous attributions of relatives of schizophrenics, they found that critical and/or hostile relatives saw the causes of the disorder or associated difficulties as more personal, controllable, and internal to the patient. Also, their attributions had fewer causal elements, especially among hostile relatives.

Interactions of mentally disordered persons with non-relatives again demonstrates the attributions  $\rightarrow$  emotions link (Farina, Holland, & Ring, 1966). When students were given the opportunity to shock people they believed had been mentally ill, they expressed more disliking and administered longer shocks to those people they thought were responsible for their con dition than to those they held less responsible for it.

#### <u>Research on attributions $\rightarrow$ actions</u>

The attribution  $\rightarrow$  action link has been explored in two studies without the intervening variable of emotion being measured. In one instance, medical students were more willing to prescribe psychotropic drugs to patients who had experienced uncontrollable stressors (e.g. death of a spouse) than for patients who had controllable stressors (pregnancy), especially if the stressor required relatively more adjustment than other stressors (Brewin, 1984). A contrary finding from the second study was that attributions of responsibility for the onset of various stigmas were unrelated to intended social rejection or social distance to stigmatized persons (Albrecht, Walker, & Levy, 1982). Instead, survey respondents most frequently reported that ambiguity of social interaction with a stigmatized person, which produced social discomfort, was the reason for distancing from them. Threat to a person's well being and type of stigma were also powerful determinants of social distance and rejection.

The preceding results give fairly good support to Weiner's (1986; 1993) theory of helping behaviour and stigmatization. The links between attribution  $\rightarrow$  emotion, attribution and relationship quality (which presumably includes emotion and behaviour), and attribution  $\rightarrow$ 

behavioral intention, have been assessed and supported in these studies. There are no studies of the emotion  $\rightarrow$  action link. Further, the prior studies have not comprehensively tested the full attribution  $\rightarrow$  emotion  $\rightarrow$  action theory proposed earlier. Studies which have tested the full theory will be reviewed next in order to more fully appreciate its strengths and weaknesses.

#### <u>Research on attributions $\rightarrow$ emotions $\rightarrow$ action.</u>

In one program of research (Weiner 1980a; 1980b), college students were asked to imagine being confronted by someone in need and then to rate (a) the degree to which the cause of the need was controllable, (b) how much pity, sympathy, anger, and disgust they felt to the needy person, and (c) their likelihood of helping. As hypothesized, attribution of uncontrollability was strongly related to positive affect, while attribution of controllability was strongly related to negative affect. Affect was strongly related to behavioral intention. Attribution of controllability was very weakly linked to behavioral intention when affect was held constant, indicating that affect is the prime determinant of behavioral intention. When behavioral intention was predicted from controllability and affect, attribution of controllability independently predicted 0% to 2% of the variance, affect predicted 31% to 39% of the variance, and the two shared 11% to 13% of the variance (Weiner, 1980a).

A more statistically sophisticated study by Meyer and Mulherin (1980) asked students to imagine being asked by an acquaintance for a loan to pay rent. The cause of the financial difficulty was varied along the dimensions of controllability, locus, and stability. Subjects rated how much they would experience 25 different emotions, as well as the likelihood of the person needing help in the future and of their helping them presently. Path analysis of the data showed that controllability was the only causal dimension that predicted affect or helping judgements, locus and stability being insignificant predictors. Controllability predicted not only the affects of anger/concern and empathy, but also rather weakly predicted helping judgements, independent of affect. In contrast, affect predicted helping judgements quite strongly. Another study in which college students read about a student in academic trouble (Betancourt, 1990) replicated the finding that controllability of cause predicted both empathic emotions and helping behaviour. However, causal modelling revealed that controllability predicted helping behaviour as strongly as it predicted emotions, contrary to Weiner's (1986; 1993) theory that there should be no direct prediction from controllability to helping behaviour. However, congruent with Weiner's theory, empathic emotions predicted helping behaviour directly.

In contrast, a direct path from attributions of controllability to helping intentions has not been found in other research. A latent variable structural model (Reisenzein, 1986) of the same scenarios used by Weiner (1980a; 1980b) found that a model in which controllability predicted only the emotions of sympathy and anger, which in turn predicted help, provided a good fit to the data. Adding a path from perceived controllability to help did not improve the fit of the model, although adding a path from the type of situation (drunk versus ill person) to help, did improve the fit. This suggests that the type of problem impacts helping, separate from its' perceived controllability. In another study, six different age groups responded to vignettes of people needing help. Path analysis indicated that the paths from control to affect and from affect to help were significant (Graham & Weiner, 1991). A model including only those paths across all age groups was a very good fit to the data, and even a model where the paths were constrained to be equal across age groups fit the data well (i.e., indicating paths from controllability to affect to help were uniform across ages).

Although paths from variable to variable were equal across age groups, the data indicates that variable means varied with age. There was a small variation in controllability beliefs across age, with 18-45 year old respondents seeing events as more controllable by the persons in the vignettes than children or elderly respondents did. Larger variations emerged with affective responses and helping intentions. Generally, subjects of increasing age reported decreasing anger, and increasing pity and likelihood of help. This indicates that controllability is not the only determinant of the magnitude of help given, but that characteristics of the respondent, such as age, may also influence affective responses and help giving.

In summary, tests of the full theory (Weiner, 1986; 1993) that attributions of controllability predict emotions, which in turn predict behavioral intention, have generally supported the theory. Results are mixed on whether controllability directly predicts behavioral intention, with some studies finding that it does (Betancourt, 1990) and others finding that it does not (Graham & Weiner, 1991; Reisenzein, 1986). It is important to note that these tests of the full theory are simulation studies, where subjects respond to written vignettes. A more rigorous test of the theory, of course, would have respondents interacting with individuals in real life.

#### Research on helping stigmatized people.

The preceding studies of attributions  $\rightarrow$  emotions  $\rightarrow$  actions have examined helping behaviour in general and have not specifically focused on helping stigmatized persons. However, the same elements and relations among them have been found when reactions to individuals with the stigma of AIDS were assessed (Murphy-Berman & Berman, 1993). Persons who were seen as more responsible for the onset of AIDS were reacted to with more anger and less pity, concern, and warmth than were those deemed less responsible for illness onset. Participants were also more unwilling to allocate money or medical resources to people more responsible for contracting the disease. These effects were similar in Germany and the U.S., indicating the generalizability of these findings.

Weiner et al. (1988) examined reactions to 10 different physical and mental-behavioral stigmas, such as cancer and drug addiction. Uncontrollability of onset was associated with positive affect ( $\underline{r} = .66$ ), which in turn had the strongest positive correlation with intentions to help ( $\underline{r} = .65$ ). Controllability of onset was experimentally manipulated in a second experiment, for example, by stating that obesity was due to a glandular dysfunction versus being due to

excessive eating without exercise. Multiple regression showed that help was more strongly predicted by affect (beta = .57) than by controllability or type of stigma (betas = .19, .10, respectively).

One interesting finding from the Weiner et al. (1988) study was that not all stigmas were reacted to similarly. Physical stigmas were seen as more uncontrollable in onset than mentalbehavioral stigmas, even after participants read vignettes which manipulated onset controllability perceptions. Furthermore, mental-behavioral stigmas were reacted to with more anger and less pity, personal assistance, and charitable donations than physical stigmas. The only exception was Vietnam War Syndrome, which was reacted to as if it were a physical stigma. This finding is consistent with previous research that used social distance as a dependent variable (Albrecht et al., 1982). Participants in this study stated they would react with much more social distance to those with social disabilities, such as mental illness, than to those with physical disabilities. However, there was differentiation even among physical disabilities, with greater social distance expressed toward people with physical disfigurements who could function in life than to those with nonvisible and/or degenerative conditions. Apparently, as the stigma became more intrusive and disruptive to social interaction, the more social distance was expressed. This effect of stigma type is consistent with other research (Sloan & Gruman, 1983) and is very strong among children (Sigelman, 1991; Sigelman & Begley, 1987).

The difference in perceptions of controllability and of helping intentions, and social distance between physical and mental-behavioral stigmas, is not easy to explain. One possibility is that physical stigmas or medical problems are widely perceived in Western culture as being beyond the control of the person (Brickman, Rabinowitz, Karuza, Coates, Cohn, & Kidder, 1982). Mentalbehavioral problems, such as alcoholism, are commonly seen as originating from the person's loss of control or weakness. This would help explain differences in helping intentions between the types of stigmas. Possibly, mental-behavioral stigmas are reacted to with less help and more social distance because they are seen as more controllable in onset (Rodin, Price, Sanchez, & McElligot 1989; but see Albrecht et al, 1982) and, thus, more deserving of negative reactions (Weiner, 1993).

A second highly important aspect of Weiner et al. (1988) is that stigma stability or permanence was measured, as well as its controllability of onset, although the published article focused heavily on the latter. This may be due to the study's theoretical framework, which is concerned with original causes of outcomes. However, onset controllability and stability were confounded in 8 of the 10 stigmas, with controllable stigmas rated as unstable and uncontrollable stigmas rated as stable. Careful examination of the results indicates that the stability of a stigma was also strongly related to affective responses and helping intentions. Weiner et al. acknowledge that stability of a stigma is related to how reversible it is, in that unstable stigmas are seen as being reversible by the person or by medical treatment. As discussed below, stability of a stigma is also linked to responsibility for the offset or cure of a stigma.

The importance of responsibility for offset or cessation of a stigma was noted by Brickman et al. (1982). These researchers draw a distinction between responsibility for causing the problem (onset controllability) and responsibility for solving it (offset controllability). They postulate that people may be held responsible for solving problems that they did not personally cause. In the Weiner et al. (1988) study, onset controllable stigmas were also largely seen as offset controllable, whereas onset uncontrollable stigmas were seen as offset uncontrollable. Therefore, it is possible that participants were reacting to the offset controllable or stability dimension of the stigma. Thus, they may not have been reacting with more anger, and less pity and help, because the stigmatized persons had caused their problems, but because they had not yet solved them. Furthermore, concerning those few stigmas in the Weiner et al. study in which stability and onset controllability were not confounded in the fashion noted above, affective and behavioral reactions were quite different compared to stigmas in which they were confounded. For example, AIDS was seen as controllable in onset and uncontrollable in offset, but was reacted to with more pity and charitable donations than the other onset controllable stigmas.

Other research (Murdock & Fremont, 1989) supports the importance of stability versus controllability in predicting helping. Counsellors made attributions of how controllable, internal, stable, global, and long-lasting was a client's problem after the intake interview. Stability of problem predicted the number of treatment sessions assigned to counsellees, as did duration of problem. In contrast, controllability was not a significant predictor of treatment assignment.

### Brickman et al. (1982) Theory of Helping and Stigmatization

The differing implications of onset and offset controllability observed above have been developed into four models of helping and coping (Brickman et al., 1982), which are summarized below. These models contain different attributions of responsibility for causing and solving problems. As a result of assumptions regarding who causes and who solves difficulties, there are varying perceptions of human nature, of the self, and of actions required by those who help and those who are being helped. Before describing them in detail, it should be noted that these models are worth examining because they are theoretically more sophisticated in two areas than Weiner's (1986; 1993) model of heiping. This theoretical development is important in order to construct the best possible model of stigmatization and helping of mentally disordered persons. The first area of theoretical development is that Brickman's et al. (1982) models include the dimension of responsibility for solving the problem, not just responsibility for causing it. Weiner's (1986; 1993) theory only looks at responsibility for causing a problem. Brickman et al. (1982) note that the attribution of responsibility for a solution appears to be more important for selfesteem and relapse prevention than attribution of responsibility for the cause of the problem. Second, the models of Brickman et al. (1982) predict that the most logical and appropriate helping behaviour towards stigmatized people by others depends on the particular model utilized.

The help prescribed when one particular model is adhered to varies significantly from the help prescribed by another model. Weiner (1986; 1993) simply looks at the issue of whether help will be given or not, which is less theoretically developed than observing what type of help is offered, as does Brickman et al. (1982). The four models will be described in detail and then the research conducted on them will be reviewed.

#### Models of Helping and Coping

The first model described by Brickman et al. (1982) is the moral model, in which people are held responsible for both causing and solving their problems (e.g., obesity). People are seen as moral failures for having caused and not solved their problems. In this model, people with problems are lazy and need to strive harder in order to solve them. The person's peers are to exhort the troubled person to solve the problem, which they are assumed to be able to do. This implies that people are strong enough to solve their own problems without outside assistance other than exhortation. A potential difficulty with this model is that the person may feel that all things are entirely in his or her control, leading either to a narcissistic sense of omnipotence or to enormous frustration in trying to control inherently uncontrollable situations (cf. Northouse & Wortman, 1990).

The second model is the enlightenment model, wherein people are still responsible for causing their problems but are not responsible for solving them (Brickman et al., 1982). Stigmatized people are seen as guilty for causing their stigma, as their impulses are (or at least were) out of control. They must submit to authorities for the appropriate discipline to ensure their impulses become or stay under control. Alcoholics Anonymous exemplifies this model. Alcoholics are viewed as causing their problem and needing to admit they are helpless to control it. Moreover, they must surrender to the control and help of God and to the community of exalcoholics. Human nature is seen as bad, easily capable of ruin from destructive impulses, and

needing enlightenment and proselytization from the community of the reformed. The extensive blame placed on the troubled person is a drawback to this model (Northouse & Wortman, 1990).

The third model is the compensatory model, which assumes people are not responsible for causing their problems, but are responsible for solving them (Brickman et al., 1982). People are innocent victims of past circumstances and are currently in difficulty because of insufficient resources. However, if they assert themselves to compel the social environment to give them the necessary resources, they will be able use them and cope successfully. This model assumes that people are inherently good and that it is only deprivation which maintains their problems. Other people are their subordinates and should mobilize to help them. If others do not, this may lead to alienation and frustration on the part of person trying to help him or herself. Northouse and Wortman (1990) also note that the compensatory model may force information and active participation on disordered people who do not want it, a process which may have negative consequences, such as further withdrawal and passivity.

The final model is the medical model, which holds that people are not responsible either for the onset or offset of their problems (Brickman et al., 1982). People are ill or incapacitated in this model, and are subject to past and current forces beyond their control. They should accept the treatment given by experts, who are responsible for solving the problem. However, as they only need to accept the treatment of others within the medical model, people with this kind of problems are viewed as weak and may become dependent on experts. Moreover, the experts may become overwhelmed by these demands and may withdraw from the patient (Northouse & Wortman, 1990). Another drawback is discouragement of active coping regarding the elements under the person's control. A summary of these four models appears on the following page in Table 1.

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## <u>Table 1</u> Consequences of attribution of responsibility in four models of helping and coping.<sup>2</sup>

## Attribution to self of responsibility for solution

Attribution to self of responsibility for cause of problem	HIGH	LOW
HIGH	Moral Model	Enlightenment Model
Perception of self	Lazy	Guilty
Actions expected of self	Striving	Submission
Others who must act	Peers	Authorities
Actions expected of others	Exhortation	Discipline
View of human nature	Strong	Bad
Potential pathology	Loneliness	Fanaticism
LOW		
	Compensatory Model	Medical Model
Perception of self	Deprived	IU
Actions expected of self	Assertion	Acceptance
Others who must act	Subordinates	Experts
Actions expected of others	Mobilization	Treatment
View of human nature	Good	Weak
Potential pathology	Alienation	Dependency

## Research on Brickman's models

Although these models are conceptually coherent, it is a separate issue as to whether they exist in the real world and operate as hypothesized. Initial empirical evidence for the models came from interviews with members of groups which were hypothesized to represent the models in

<sup>&</sup>lt;sup>2</sup>Drawn from Brickman et. al. (1982).

fairly pure form (Rabinowitz, reported in Karuza, Zevon, Rabinowitz, & Brickman, 1982). The four groups differed significantly in perceived responsibility for problem onset and offset. For example, graduates of erhard seminars training<sup>3</sup> (est; moral model) and Campus Crusade for Christ (enlightenment model) viewed themselves as more responsible for the onset of their problems than did group members in a job training program (compensatory model) or infirmary patients (medical model). In turn, est and job training participants viewed themselves as more responsible for finding solutions than did infirmary patients or Campus Crusade for Christ members.

Dimensions other than attributions of responsibility also varied as predicted. To illustrate, est graduates more strongly agreed that they were the essential agents of change and that they were stubborn individuals than did members of the other groups.

Other studies indicate that the models are fairly distinct constructs. Factor analysis of items representative of the four models showed that they grouped into four factors, each representing a separate model (Michlitsch & Frankel, 1989). However, other scales designed to measure the four models are less robust, having Cronbach's α values of .5 to .7 (Karuza, Zevon, Gleason, Karuza, & Nash, 1990). Despite these moderate reliabilities, Karuza et al. found correlations which supported the models. The correlations of the scales with attributions of responsibility for cause and for solution were as predicted, although moderate in size (absolute values of Γ from .04 to .38). Elderly and young adults who retained responsibility for solutions to their problems reported increased positive affect and decreased negative affect. This was not the case for taking responsibility for the cause of one's own problems, which was correlated with decreased positive affect in young adults. These differing affective consequences were not directly predicted by Brickman et al. (1982), but they highlight the distinction between problem onset and offset responsibility.

<sup>&</sup>lt;sup>3</sup>The formal title for this organization is not capitalized.

Brickman's et al. (1982) models have been discussed because they include onset and offset controllability as important determinants of reactions to stigmatized people, whereas Weiner's (1993) model only includes onset controllability. There has been one empirical study which assessed both onset and offset controllability as determinants of reactions to stigmatized persons. Perry (1991) hypothesized that both onset and offset controllability would have significant main effects on affective responses and helping intentions. Participants responded to both mentalbehavioral and physical stigmas in which controllability and uncontrollability was mixed across both onset and offset of the stigma. She found that onset controllable stigmas reliably elicited more blame and anger, as well as less pity, charity, and personal contributions. Offset controllable stigmas elicited less pity and assistance, but no other main effects. Interactions between onset and offset controllability were not observed on any of the cognitive, affective, or behavioral intention measures. Interactions between onset controllability and stigma type were observed for blame and charity, which was replicated for offset controllability and stigma type, with an additional effect on anger. The interactions occurred because mental-behavioral stigmas were generally seen as more controllable than physical stigmas were, even when both were described as uncontrollable in onset and offset. Physical stigmas tended to elicit more charity, and less blame and anger, than mental-behavioral stigmas.

Perry's (1991) research indicates that offset controllability has an influence on reactions to the stigmatized, although it is less strong than the influence of onset controllability. It also indicates that interactions between onset and offset controllability do not appear to predict reactions to stigmatized people. This lack of interactions may mean there is a single dimension of controllability, or that there is a ceiling effect in how much controllability influences reactions. Although these are interesting results, clearly more research and theoretical development needs to be done on the effects and interactions of onset and offset controllability to reactions to stigmatized people. Future research in this area will need to overcome some of the limitations of Perry's research, which was a simulation study with undergraduates.

Having reviewed the theories of Weiner (1986; 1993) and Brickman et al. (1982) on reactions to the stigmatized, it is worthwhile to turn our attention from general theoretical frameworks to a specific instance of stigmatization, that of being mentally disordered. In this way, theory can be integrated with what is known about this specific stigma, in order to develop a comprehensive model of the stigmatization of persons with mental disorders. Having reviewed the theoretical background, attention will now be turned to what is known about the beliefs, attitudes, and behavioral intentions of the general public toward mentally disordered persons.

## Stigmatization of Mentally Disordered People Attitudes Toward Mentally Disordered People

#### **Evaluative Associations**

As noted by Goffman (1963), people hold characteristic attitudes towards those with a stigma, such as a mental disorder. It is important to note that the public defines "mental disorder" differently than mental health professionals do. The public tends to resist labelling anyone as "mentally ill," unless their behaviour is extremely disturbed, such as in a case of paranoid schizophrenia (Rabkin, 1974). Behaviour that is less disturbed, such as that associated with depression or alcoholism, is generally not labelled as mental illness by the public, even though it is identified as such by mental health professionals. The dominant criterion for the public of whether a person is mentally disordered or not probably is whether the person has spent time in a psychiatric hospital.

Since the public uses prior hospitalization or very disturbed behaviour as the criteria for identifying mental disorder, it is not surprising that public attitudes toward people with a mental disorder have often been found to be relatively negative (see reviews by Rabkin, 1974; Segal, 1978)<sup>4</sup>. Nunnally (1961) found that, compared to the normal population, persons with a mental disorder are more strongly associated with evaluations of being bad, worthless, dangerous, unpredictable, dirty, weak, etc. These negative evaluations, especially "unpredictable," were stronger toward psychotic than neurotic persons.

The concepts of "unpredictable" and "dangerous" merit special attention, since Nunnally (1961) concluded they are the cornerstones of public attitude to persons with a mental disorder. Members of the general public are very uncomfortable in the presence of former psychiatric patients because of fears that they will abruptly embarrass or endanger them. Research shows that perceptions of dangerousness result in greater social distance, or more distant social relationships, with former psychiatric patients (Link & Cullen, 1983;Trute, Tefft, & Segall, 1989). Perceptions of dangerousness are quite widespread. Rabkin (1980) reported that, in a 1975 community survey, only 17% of the participants agreed with the statement that "mental patients are not dangerous." This may change with time or location, as a 1991 community survey in Winnipeg, Manitoba found that roughly half the sample thought mentally disordered individuals were no more or less dangerous than the general population (Segall, Tefft, & Trute, 1991).

One should note that there is some truth to these public perceptions. Community mental health center clients (Harry & Steadman, 1988) and ex-mental patients are arrested at a rate greater than that of the general population, and the rate is rising (Steadman, 1981). Higher arrest rates are observed cross-culturally, as a birth cohort study in Stockholm found that individuals with a major mental disorder (schizophrenia, psychoses, affective psychoses, paranoid states) or with substance abuse were arrested at significantly higher rates than individuals with no mental disorders (Hodgins, 1993). Individuals with other mental disorders did not have higher arrest rates than people with no diagnoses (as measured by admission to a psychiatric hospital).

<sup>&</sup>lt;sup>4</sup>More attention will be paid to studies published after these excellent reviews, since the most recent review (Bhugra, 1989) is spotty in coverage.

Controversy exists over using arrest rates and hosp<sup>i</sup>.alization as criteria to measure violence and mental disorder, with competing explanations to account for the relationship (Davis, 1991; Link, Andrews, & Cullen, 1992). Many important confounds can be eliminated by assessing the prevalence of mental disorder and violence in a community sample. A very large study of several communities, with in-home structured interviews for mental disorder and violence, concluded that "individuals in the community with psychiatric disorders are more likely to engage in assaultive behaviour, by their own report, than those who are free of mental illness and substance abuse" (Swanson, Holzer, Ganju, & Jono, 1990; pp. 768-769). Individuals with anxiety disorder only were not more violent than those with no disorder, and individuals with affective disorder also had a low rate of violence. Conversely, individuals with substance abuse or multiple diagnoses reported rates of violence roughly 10 times higher than reported by the no-disorder group.

Another large community survey found that current psychotic symptoms predicted violence among people who had never been treated for a disorder, and among mental patients, even after controlling for age, sex, education, ethnicity, need-for-approval, and homicide rate in the neighborhood (Link et al., 1992). This is consonant with other data that psychotic, especially delusional, symptoms are significantly associated with serious violence (Taylor, 1993). Psychotic symptoms may interact with suspicion on the part of others to create tense situations, which then escalate into violent situations in conditions of social disorganization and poverty, and their attendant environment of violence and victimization (Hiday, 1995). Alternately, some severely mentally disordered people have antisocial personality disorder and/or substance abuse problems, which would also create tense situations and violence.

A reviewer of this area of research concluded that, regardless of methodology or sample, "and no matter how many social and demographic factors are statistically taken into account, there appears to be a relationship between mental disorder and violent behaviour. Mental disorder may be a robust and significant risk factor for the occurrence of violence" (Monahan, 1993; p. 299). It is important to note that less than five % of the total number of violent incidents reported in community-wide studies can be attributed to schizophrenia or major affective disorder (Swanson & Holzer, 1991) and that restrictions on, and fear of, individuals with these disorders is largely unjustified.

#### Social Distance

As noted before, perceptions of dangerousness result in greater social distance. Social distance refers to the degree of closeness people are willing to accept in their interactions with mentally disordered persons, and ranges from working with a person who had been mentally ill to falling in love with them (Segal, 1978). Social distance is a complex phenomenon with several determinants. Its' complexity is indicated in reviews of the literature, which find either considerable social distance toward persons with a mental disorder (e.g., Cumming & Cumming, 1957) or else considerable acceptance (e.g., Crocetti, Spiro, & Siassi, 1974). These contrary conclusions can be partly explained by methodological confounds (Brockman, D'Arcy, Edmonds, 1979). Studies conducted solely by social scientists tend to find more negative attitudes than those conducted by medical personnel. Medical personnel tend to rely heavily on close-ended or fixed-choice interviews, which yielded positive attitudes, rather than open-ended interviews based on a vignette or closed-ended questionnaires, which elicited more negative attitudes. A fixedchoice interview may yield more positive results because of the respondent's desire to 'please' the interviewer, who may be seen as having relatively accepting attitudes toward mentally disordered persons. An empirical study has confirmed that using differing methodologies in measuring attitudes toward persons with a mental disorder yields differing results (McPherson & Cocks, 1983). Brockman et al. (1979) concluded that findings of negative attitudes were less suspect methodologically than findings of positive attitudes.

Overall, Segal (1978) concluded there was less reported social distance from the public to mentally disordered people over time, although the public still made significant efforts to avoid such people in situations with greater interpersonal involvement. This was confirmed in a resurvey of a Saskatchewan town that had been studied 23 years earlier for its attitudes toward persons with a mental disorder (Brockman & D'Arcy, 1978). Social distance toward persons with a mental disorder had decreased slightly over time in the town, most notably among the young.

More recent research has found that attitudes toward mentally disordered persons, as measured on a social distance scale, were closer to the rejecting pole of the scale than to the accepting pole (Nieradzik & Cochrane, 1985). In another study, much more social distance was expressed to people with mental illness than to people with physical illnesses (Albrecht et al., 1982). Social distance to mentally disordered people was between the social distance expressed to ex-convicts and to juvenile delinquents, the latter being rejected more. In a British sample, slightly more than half of the respondents stated that they would work with mentally disordered persons or live next door to them (Hall, Brockington, Levings, & Murphy, 1993). Less than half of the sample would allow their children to speak with a mentally disordered person, go to a party at their home, or have more intimate social contact, the percentages decreasing as social distance decreased.

When attitudes toward people who have been previously hospitalized or treated for a mental disorder are measured, social distance still tends to be more rejecting than to those who have never been diagnosed (Rabkin, 1980), even among advocates for persons with a mental disorder (e.g., Houghton, 1980). Responses on social distance scales (e.g., Crimson, Jermain, & Torian, 1990) indicate that people who have had a mental illness would be excluded from jobs and personal relationships. The responses may also be influenced by social desirability, which was cleverly circumvented by asking community respondents what they thought the reactions of most people would be to former mental patients, rather than what their reactions would be (Link, Cullen, Struening, Shrout, & Dohrenwend, 1989). The majority of respondents believed that

former mental patients would be discriminated against by employers, rejected in dating and close relationships, and be seen as less trustworthy and intelligent.

Research in Winnipeg, Manitoba shows that social distance exists as two factors, rejection in social relationships and rejection in social responsibility (Trute & Loewen, 1978). Respondents were quite rejecting of social relationships with previously mentally disordered people, the average response being 20.3 on a scale from 5 to 25, higher scores indicating more social rejection. They were much more personally accepting of persons with a mental disorder in socially responsible roles (e.g., as a co-worker), the mean being 8.9 on a scale from 6 to 30. This study was replicated a decade later and no significant change in attitudes was found in the same population (Trute et al., 1989).

This division of social distance into separate, stable factors (Trute et al., 1989), with markedly different response levels, shows that attitudes toward previously mentally disordered people are complex, with rejection and acceptance being dependent on the dimension being assessed. Furthermore, there is considerable social acceptance of previously mentally disordered people in terms of their occupying socially responsible roles. This fact has been highlighted by Roman and Floyd (1981), who note that, across several studies "a substantial majority of the respondents would be willing to have persons who behave in depressive-aggressive or simple schizophrenic patterns as neighbors, members of their favorite organizations, and in many instances would be willing to work alongside such persons" (p. 25).

Levels of rejection or acceptance also depend on the type of disorder. When respondents are presented with vignettes of a person's behaviour, more rejection is expressed toward individuals with more severe disorders or more disturbed behaviour (Brockman et al., 1979; Link, Cullen, Frank, & Wozniak, 1987; Nieradzik & Cochrane, 1985; Segal, 1978).

Controversy exists as to whether behaviour is the only determinant of negative attitudes, or whether the label of "mentally ill" also has an impact on attitudes, separate from the person's behaviour (Link et al., 1987; Segal, 1978). Simple assessments of labelling show little effect on social distance, but this may mask an interaction between labels and perceived dangerousness of mental patients (Link et al., 1987). Individuals who consider mental patients to be low in dangerousness accept them more than those with equally objectionable behaviour, but with no label of being a mental patient. However, if community residents consider mental patients to be dangerous, then they will be rejected much more than those with objectionable behaviour which has not been labelled as mental disorder. Link et al. (1989) later found, in an extensive longitudinal study of a community sample, that the fact that one was labelled mentally ill had effects independent of behaviour or symptom level. The negative effect of the label is evidenced in higher unemployment and psychological distress/demoralization of persons with a mental disorder, regardless of whether the ex-patient educates others, avoids potentially rejecting situations, or tries to keep their psychiatric treatment secret (Link, Mirotznik, & Cullen, 1991).

#### Determinants of Attitudes toward Mentally Disordered People

The strength of social distance and other attitudes toward persons with a mental disorder is influenced by several demographic variables. Increasing age of respondent has been associated often with increasing rejection of people who currently or previously had a mental disorder (Brockman & D'Arcy, 1978; Brockington, Hall, Levings, & Murphy, 1993; Dear & Taylor, 1982; Hall et al., 1993; Nieradzik & Cochrane, 1985; Rabkin, 1974;Trute et al., 1989). Conversely, more education frequently results in greater acceptance of persons with a mental disorder (Brockman & D'Arcy, 1978; Brockington et al., 1993; Dear & Taylor, 1982; Hall et al., 1993; Rabkin, 1974;Trute et al., 1989). Higher social status is somewhat associated with greater tolerance (Brockington et al., 1993; Dear & Taylor, 1982; Hall et al., 1993;Trute & Loewen, 1978; Vannicelli, Washburn, & Scheff, 1980). Although these relationships between social
distance and age, education, and social status are fairly consistent, the strength of the relationship is rather modest.

A variable which powerfully determines attitudes toward mentally disordered people is increased contact with them (Lopez, 1991), which is associated with more positive attitudes (Rabkin, 1974). Contact can be classified as impersonal (such as visiting a mental health facility), as social contact (such as a friend who has had mental health problems), or as intimate contact (such as a family member who has had mental health problems) (Trute et al., 1989). Many studies have used pre- and post-measures of attitudes by nurses and volunteers completing training on a psychiatric ward. However, the decreased social distance found at post-test in these studies may be from some indoctrination that more humanistic attitudes should be adopted, rather than contact per se (e.g., Rabkin, 1974; Roman & Floyd, 1981). Studies of community samples minimize this indoctrination effect. In Canadian (Dear & Taylor, 1982; Trute & Loewen, 1978; Trute et al., 1989) and British (Brockington et al., 1993; Hall et al., 1993) community samples, increasing personal contact with mentally disordered people results in more positive attitudes. The null findings of a few studies (Arkar & Eker, 1992; Brockman & D'Arcy, 1978) may be from the paucity of items to measure contact and failure to discriminate among levels of contact.

An important issue in contact with mentally disordered persons is whether it is chosen or not, as favorable attitudes may lead to initiating contact, rather than following from contact (Link & Cullen, 1986). In this community survey, simply having non-intimate contact resulted in more favorable attitudes, in terms of reduced levels of fear and perceptions of dangerousness. Decreased fear resulted even from contact unlikely to have been chosen by the respondent. Link and Cullen concluded that contact resulted in decreased fear and perceptions of dangerousness, not vice versa. A connection between intimate contact and lower levels of perceived dangerousness has been observed in another community sample (Segall et al., 1991). Unfortunately, the nature of the contact was not assessed in these studies. Negative encounters (e.g., with a violent, psychotic person) would not be likely to produce positive attitudes. In summary, direct contact with persons with a mental disorder joins age and education as important predictors of attitudes toward them.

A Causal Model of the Stigmatization of Mentally Disordered Persons

At this point, after having reviewed (a) the general theories of helping and stigmatization proposed by Weiner (1986; 1993) and Brickman et al. (1982) and (b) the specific instance of stigmatization of persons with a mental disorder, a comprehensive model of stigmatization of this group can be constructed.

Weiner's (1986; 1993) theory provides a foundation for this comprehensive model. Judgements of the controllability of the onset of mental disorder are hypothesized to cause affective reactions,<sup>5</sup> with controllable onset resulting in anger and uncontrollable onset producing sympathy and pity. Affective reactions cause behavioral intentions, with anger leading to neglect and lack of help, while sympathy and pity lead to intentions to help. This theoretical sequence can then be enriched with Brickman et al.'s (1982) underscoring of the importance of offset controllability. It is presumed to mediate between onset controllability and affect. Judgements that mental disorder is both onset and offset controllable are hypothesized to lead to strong negative affects. Conversely, appraisals that mental disorder is uncontrollable in both onset and offset are predicted to lead to positive affects. Conceptualizations that mental disorder is uncontrollable in onset and controllable in offset are presumed to lead to slightly positive affect. Judgements that it is controllable in onset and uncontrollable in offset are theorized to lead to slightly negative affective reactions. These last two predictions are derived from Perry's (1991) preliminary results that onset controllability was more powerful than offset controllability in determining affective reactions.

<sup>&</sup>lt;sup>5</sup>Weiner's (1993) theory has judgements of responsibility intervening between judgements of controllability and affect. Judgements of responsibility will be omitted for the reasons noted previously.

Next, the specific determinants of attitudes toward persons with a mental disorder need to be added to the theoretically derived model. As reviewed above, research indicates that a respondent's increased perceptions of dangerousness, increased age, lesser education, and lesser contact with mentally disordered people all negatively influence the person's attitudes toward them. Perceptions of dangerousness are predicted to cause negative affects, especially fear. Dangerousness and fear are even used interchangeably in some research (Link & Cullen, 1986). Greater age, lesser education, and less contact with mentally disordered persons are also hypothesized to cause more negative affect (Link & Cullen, 1986). The influence of socialdemographic variables are considerably stronger on affect than controllability beliefs (Graham & Weiner, 1991) and, thus, are theorized to influence affect. Social-demographic variables are not hypothesized to influence behavioral intentions. Instead, it is presumed that affect is the sole determinant of behavioral intentions (Weiner, 1986; 1993) to help mentally disordered persons. This comprehensive model of helping and stigmatization to persons with a mental disorder is presented below in Figure 1. Figure 1

Comprehensive model of helping and stigmatization

of persons with a mental disorder.

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#### Hypotheses

The above model of stigmatization can be formulated into the following hypotheses. 1. Affect, as opposed to controllability beliefs, demographic variables, knowledge and/or experience with mentally disordered persons, and beliefs about their dangerousness or likelihood of harm, will be the sole predictor of behavioral intentions to reject person: with a mental disorder. Positive affect will predict lesser rejection, whereas negative affect will predict greater rejection of mentally disordered persons.

2. A stronger belief that mental disorder is controllable in both onset and offset will directly predict strong negative affect, by having a strong positive relation (large correlation or path coefficient) to negative affect.

3. A stronger belief that mental disorder is uncontrollable in both onset and offset will directly predict strong positive affect, by having a strong positive relation (large correlation or path coefficient) to positive affect.

4. A stronger belief that mental disorder is uncontrollable in onset and controllable in offset will directly predict slightly positive affect, by having a modest positive relation (modest correlation or path coefficient) to positive affect.

5. A stronger belief that mental disorder is controllable in onset and uncontrollable in offset will directly predict slightly negative affect, by having a modest positive relation (modest correlation or path coefficient) to negative affect.

6. Respondents' increased perceptions of dangerousness and likelihood of harm from mentally disordered persons, older age, lesser education, and lesser knowledge and/or experience with persons with a mental disorder will each positively predict negative affect.

7. Respondents' decreased perceptions of dangerousness and likelihood of harm from mentally disordered persons, younger age, greater education, and greater knowledge and/or experience with mentally disordered persons will each positively predict positive affect.

#### METHOD

## **Participants**

The participants for the final analysis in this study were drawn from all dwelling units listed in the 1989 tax assessment file for the city of Winnipeg (Currie, 1990). More than 99% of the existing dwellings in the city were included in the list. Data from the 1986 and 1989 WAS were used to pretest factor structures and models and were drawn as described below.

## Sampling

A systematic random sample of 753 addresses was selected from the list, excluding temporary residences and nursing homes. Gender, age, and residency were the criteria used to select respondents within the household. A second sample of 150 addresses was selected to act as a source of replacements. A total of 134 replacements were made due to household vacancy at interviewer contact times or respondent ineligibility. There were 506 completed interviews, for a completion rate of 67.8% of eligible households. The sample was drawn for the 1990 Winnipeg Area Study (WAS), which interviewed respondents on various beliefs, attitudes, and social acceptance of mentally disordered persons and of one's neighbors, and Dr. Tefft was the principal investigator for the study. The interviews were conducted in the respondent's homes by trained interviewers and lasted approximately an hour.

Social-demographic and community information was collected and respondents as a group were quite similar to the general population as assessed by the 1986 census and previous Winnipeg Area Studies (WAS; Currie, 1990). Respondents were very similar in terms of male/female distribution compared to the previous 4 WAS. There was no significant difference between the 1986 census and the 1990 WAS in age distribution or household distribution. There was no statistically significant differences between the 1990 WAS and five previous WAS studies in educational level (1987 WAS had anomalously low education level). Income level was higher for the 1990 WAS than for previous WAS studies, as it appears to increase on a yearly basis. There were no significant differences between respondents and refusers on the variables of gender and neighborhood characteristics. For further details of the sample and quality of interview, see Currie (1990). A subset of the data gathered by the 1990 WAS is used in the present research, which is a secondary analysis of the data. The data is cross-sectional in nature.

#### Instruments

## Controllability of Onset and Offset

At the outset of the interview, the term "mentally ill" was defined as "emotional problems that cause some people to act, or feel abnormally," so that participants had a common understanding of the term. Controllability of the onset of mental disorder was assessed with the question "In your opinion, how much control does someone have over their becoming mentally ill? In other words, how much can they prevent becoming mentally ill?" Interviewees responded on a nine-point scale ranging from "none at all" to "total control." Controllability of offset was assessed with the question "In your opinion, how much control does the person who is mentally ill have over the cure or elimination of their illness?" and responses were given on the same ninepoint scale mentioned above.

## Social Demographic Variables

The level of education of the respondent was assessed with a 15-point scale assessing various amounts and types of education. The scale has been used successfully in many annual Winnipeg Area Studies, as well as comparable community surveys in Edmonton, Alberta. It is presented in Appendix A.

#### Affective Responses

The introduction to the questions on affective responses emphasized that there were no incorrect or wrong feelings and that honest emotional responses were desired. Respondents were asked about five different affective responses (fear, liking or attraction, pity or sympathy, blame, and anger) that they may feel toward mentally disordered persons as a group. The question was of the form "How much fear do you feel toward mentally ill people?" Responses regarding each affect were given on a nine-point scale, from (1) "none at all" at one end, to (9) "total (affect)" (e.g., "total fear") at the other end. The precise introduction and questions are given in Appendix B.

## Behavioral Intentions Toward Mentally Disordered Persons

An 11-item scale to measure social rejection was developed by Trute and Loewen (1978). The instrument consists of questions, answered on a five-point scale from (5) strongly agree to (1) strongly disagree, which assess how much the respondent would reject a person who had been in a psychiatric hospital in various social roles and social interactions. Scores range from 11 to 55, with higher values indicating greater social rejection. Repeated factor analysis has identified two factors, rejection in social relationships and rejection in social responsibility (Trute & Loewen, 1978; Trute et al., 1989). Rejection in social relations accounts for 9.7% of the variance explained, and rejection in social responsibility accounts for 47.5% of the variance in the most recent analysis (Trute et. al., 1989). The social relations factor consists of five items as to the degree to which the respondent would engage in social relations with a previously mentally disordered person. Social relations range from fairly distant (such as having the residence of discharged psychiatric patients in the area), to very intimate (such as falling in love with a former patient). The social responsibility factor has seven items (one item loaded on both factors) which assess the acceptance of former psychiatric patients in socially responsible roles, such as being a co-worker with the respondent. The full scale is listed in Appendix C.

## Experience With Mental Disorder

Respondents' experience with, and knowledge about, mental disorder<sup>6</sup> was assessed with a nine-item scale (Trute et al., 1989). Factor analysis revealed three principal factors, namely

<sup>&</sup>lt;sup>6</sup> Knowledge about and experience with mental disorder and mentally disordered persons can be conceptualized as contact with mental disorder. The phrase "contact" will be used to refer to knowledge about and experience with mental disorder.

impersonal contact, social contact, and intimate contact. The impersonal contact factor accounted for 30.3% of the variance in the scale, social contact accounted for 12.8% of the variance, and intimate contact explained 11.7% of the variance. An example of an impersonal contact item is "I have lived or worked close to a mental health facility," while a social contact item is "A friend of mine currently has or in the past has had mental problems." An intimate contact item is " I currently have or in the past have had professional help for mental problems." Subjects responded "yes" (1) or "no" (0) as to whether the item was true for them. If the answer was "yes," they were probed as to the details of their experience which resulted in an answer of "yes." Any such details did not affect their factor scores. The scale range was from 0-11, with higher scores indicating more types of contact with mental disorder. The exact items on each factor are listed in Appendix D.

## Dangerousness of Mentally Disordered Persons

Two items assessed public beliefs about the relative dangerousness of (a) mentally disordered persons in general and (b) those the respondent has personally known (Segall et al., 1991). The first item was "when you think of the mentally ill as a general group of people, how dangerous would you say they are compared to the general population?" The second item was "of the mentally ill people you have had personal contact with, how dangerous would you say they have been compared to the general population?" Responses could range on a scale from (1) "much less dangerous" to (5) "much more dangerous."

Two other items assessed public beliefs about the likelihood of threat or harm from mentally disordered persons living in an intensive care group home on their street. The first item was "some people think that opening an intensive care group home on their street would lead to facility residents threatening them or someone they care about with physical harm. How likely do you think it is that facility residents would threaten you or someone you care about in this way?" The second item was "some people think that opening an intensive care group home on their street would be the second item was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was "some people think that opening an intensive care group home on their street was street was "some people think that opening an intensive care group home on their street was street

street would lead to facility residents actually physically attacking them or someone they care about with physical harm. How likely do you think it is that facility residents would attack you or someone you care about in this way?" Responses could range on a seven point scale from "very likely" (1) to "very unlikely" (7). If the respondent gave an answer other than six or seven, they were asked about the seriousness of the threat or attack. If respondents rated an attack as "very unlikely" it was considered irrelevant to probe as to the seriousness of an attack that the respondent did not generally believe would occur. Data from only the first component of each question, regarding the likelihood of harm or attack, was used in the analysis.

## **Data Analysis Procedure**

#### Usage of Structural Equation Modelling

The comprehensive model of the stigmatization of mentally disordered persons outlined above is rather difficult to statistically evaluate because of its' complexity. The model has several factors, which serve as dependent and independent variables, and inclusion of these factors makes evaluation of the model difficult. A recently-developed technique called structural equation modelling (SEM) is able to test this comprehensive model, and was used for that reason. A very brief overview of SEM will be given, as it is a sophisticated, and poorly understood, statistical technique. A more detailed explanation of SEM is presented in Appendix E for the interested reader.

SEM begins by specifying what theoretical structure is hypothesized to underlie or have produced a particular dataset or variance-covariance matrix. The theoretical structure can include loadings of variables on a factor, or regression of variables on other variables, or even a combination of these two. If the theoretical model has only linear relationships among the variables, it can be respecified as a group of linear equations (James, Mulaik & Brett, 1982). The unknown values in the equations can be solved for, or estimated simultaneously. A consequence of this process is that relationships among variables are estimated simultaneously, rather than by sequentially adding or subtracting variables. Sequentially changing variables, such as in stepwise multiple regression, leads to problems with regression coefficients changing with each variable added to the model, as well as the direct and indirect effects of variables on each other being masked by shared variance. These problems do not occur with simultaneous estimation of variables.

SEM also permits estimation of the relationships among both measured variables and latent variables, or factors. A latent variable is not measured directly, but is assessed by the loadings of indicator variables on the factor. The indicator variables can be viewed as being composed of error of measurement, unexplained item variance<sup>7</sup>, and variance from the latent construct that the indicator variable is measuring (Martin, 1987). SEM can be used to separate these types of variance from one another. Consequently, inferences about the effect of the true or latent constructs on each other can be made, separate from the confounding effect of error variance. This separation of error variance from true variance is not possible with correlations or multiple regression, even if factor scores are used.

Once estimates of the relationships or parameters among the variables have been generated, the accuracy of these estimated values must be evaluated (Bentler, 1993). The estimated or predicted covariance matrix  $\Sigma^{\wedge}$  can be compared to the sample covariance matrix S, and if element for element,  $\Sigma^{\wedge}$  is nearly identical to S, then the structural model that generated  $\Sigma^{\wedge}$  is a possible candidate to be the structure underlying the population  $\Sigma$  (Bentler & Bonett, 1980). The difference between  $\Sigma^{\wedge}$  and S can be evaluated by different formulas and when multiplied by n, (defined as N - 1), it has an asymptotic chi-square distribution with degrees of freedom equal to the number of sample variances and covariances  $\{p(p + 1)\}/2$  minus the number of parameters that were estimated. If there is little difference between  $\Sigma^{\wedge}$  and S, the  $\chi^2$  value will be non-

<sup>&</sup>lt;sup>7</sup>Error of measurement and unexplained item variance are commonly grouped together and labelled as error variance. This practice was followed in the present research.

significant. A significant  $\chi^2$  value indicates a notable discrepancy between the model tested ( $\Sigma^{\wedge}$ ) and the sample data (S), suggesting that the model should be rejected as a possible explanation of the data.

An extremely common result is that the specified theoretical model is rejected because it has a poor fit to the data. For this, and other reasons discussed in Appendix E, several theoretically plausible models will be tested for their goodness of fit to the data (McDonald & Marsh, 1990). Several submodels of the comprehensive model of helping and stigmatization to mentally disordered persons presented above, will be specified and tested as well. The first submodel is Weiner's (1986; 1993) theory, where judgements of the controllability of the onset of mental disorder are hypothesized to cause affective reactions which, in turn, cause behavioral intentions. The second model adds Brickman et al.'s (1982) models of helping and coping, with the two variables of onset controllability and offset controllability combined into the four models, which are presumed to predict affect. The third model is the comprehensive model in Figure 1. It adds to the second model the variables of perceptions of dangerousness, increased age, lesser education, and lesser contact with persons with a mental disorder. Further, it predicts that they all influence affect.

#### RESULTS

## **Data Analysis Results**

The reporting of results will parallel the structure of the literature review, in which several models of increasing theoretical complexity were discussed. The results from the analysis of each model will be presented in turn, after an initial explanation of the structuring of the measured variables and associated latent factors. After each model and its goodness of fit to the data has been described, the seven hypotheses will be discussed in sequence. Confirmation or

disconfirmation of each hypothesis will be made more clear by having the results of several different models brought to bear on each hypothesis.

## Construction of Measurement Model

## Pretest of Measurement Model

## Dataset used in pretest.

Data from the 1989 Winnipeg Area Study (WAS) was used to derive and pretest the measurement model used in the present analysis. The 1989 WAS served as a follow-up study to the 1986 WAS. It assessed changes in beliefs and attitudes toward mentally disordered persons, as well as knowledge of changes in mental health law. Sampling and data collection procedures are very similar to those described above for the 1990 WAS, and are presented in detail by Segall et al. (1991). Data from 162 respondents was available for pretesting models. Identical questions for the purposes of this study were asked in the 1989 and 1990 WAS, although two extra questions regarding the likelihood of harm from a mentally disordered person were asked in the 1990 WAS.

## **Construction of factors**

The adequacy of the factor structures derived in the 1986 WAS (Trute et al., 1989) was evaluated by confirmatory factor analysis (CFA). CFA, which is a subset of SEM, assesses the degree to which a specified factorial structure fits a dataset. CFA of the Social Rejection scale showed that the published factorial structure (Trute et al., 1989) was a poor fit to the data (NFI = .77, NNFI = .76, CFI = .82). However, the same exploratory factor analysis technique used in previous research on the scale (principal components with orthogonal rotation by normalized varimax) was applied to the 1989 WAS data. Loadings above .30 indicated which variables loaded on each of the two factors. CFA confirmed that this structure fit the data well (NFI = .89, NNFI = .91, CFI = .93). When the structure was applied to the 1990 dataset, the fit was also satisfactory (NFI = .90, NNFI = .88, CFI = .92). This new factor structure was used for all subsequent analysis. It did not differ markedly from the published factor structures (Trute et al., 1989), with three items loading on both factors instead of one. One item (Nearby) switched factors, as it had done from the 1976 WAS to the 1986 WAS. Reliability of the Rejection of Social Responsibility factor was 0.89, while reliability of the Rejection of Social Relations factor was 0.87.

Exploratory factor analysis of the Contact Scale in the 1989 WAS revealed that the first eigenvalue was 2.23, the second was 1.15, and the third was 1.05, indicating that one factor may summarize the data. This was tested by confirmatory factor analyses of the experience scale, applying one-factor and three-factor solutions to the data. The one-factor solution fit very well, with a fit index of 1.00 and an adjusted fit index of .999, where 1.00 is the maximum value. These fit indices are identical to those for the three-factor solution, in both the 1989 and 1990 datasets. The one-factor solution was chosen because the fit indices for the one- and three-factor solutions are identical, indicating there is only one factor or latent construct in the data. The reliability of this scale was 0.68

The variables which make up the Contact Scale are dichotomous, rather than continuous. This presented difficulties to EQS, as it is very slow when analyzing dichotomous data, and it cannot have measured variables as independent variables in models with categorical data. For these reasons, composites of the contact variables were created. Each dichotomous contact variable was multiplied by its loading on its principal component of indirect contact, social contact, or intimate contact (Trute et al., 1989) to derive the composites. These three composites were used as indicators of the single latent construct of contact with persons with a mental disorder.

CFA of the emotion variables indicated that having one positive emotion factor of pity/sympathy and liking, and another negative emotion factor of fear, blame, and anger did not fit the data well. The  $\chi^2$  value was 18.2 on 4 df. (p < .001), with fit indices (NFI = .76, NNFI = .46,

CFI = .79) indicating quite a poor fit to the data. Pity/sympathy and liking had a correlation of .03, clearly indicating they were not caused by the same latent construct. Furthermore, results from exploratory factor analysis indicated that there were three orthogonal factors, consisting of (a) blame/anger/fear, (b) pity/sympathy/fear, and (c) liking, with a minor loading of pity/sympathy on liking. This factor structure cannot be evaluated without prior loadings in CFA since it is under-identified, having more parameters to estimate than data points. When applied to larger models with more data points, loadings could be estimated. These loadings were then applied as fixed loadings of measured variables on the emotion factors. This resulted in an excellent fit, with a non-significant  $\chi^2$  and high fit indices (NFI = .88, NNFI = .95, CFI = .96).

The dangerousness factor could not be independently assessed with data from the 1989 WAS dataset, as there were only two measured variables of the perceived dangerousness of mentally disordered persons, and two indicators are insufficient to establish a factor. However, the 1990 WAS dataset had two additional questions on the likelihood of threats or attack from mentally disordered persons. Exploratory factor analysis on the four measured variables indicated there were two correlated factors. One factor was the perceived dangerousness of mentally disordered persons compared to the general population, and the other was the likelihood of a mentally disordered person threatening or attacking oneself or someone one cared about. CFA of this correlated two factor structure indicated that it fit the data nearly perfectly, with a nonsignificant  $\chi^2$ , and fit indices ranging from .998 to 1.00.

# Construction of measured variables

The four models of Brickman et al. (1982) represent a two-by-two matrix of high and low levels of controllability of onset and offset of a problem. The two variables which assessed onset and offset controllability were split at the midpoint of the scale into four variables. For each new variable, 0 indicated missing data, a neutral response, or a response inconsistent with the variable. Larger positive integers indicated more extreme beliefs about controllability. For example, in the variable which measured whether people had low control over onset, "Onlow," the largest value indicated the respondent believed that mentally disordered persons had no control whatever over the cause of their disorder. If the respondent believed that mentally disordered persons had considerable control over the onset of their disorder, this is inconsistent with believing they have low control over onset. The respondent would have a score of 0 on "Onlow". Instead, the respondent would receive a large positive score on "Onhigh," as they believed that mentally disordered persons had high control over the onset of their disorder. Each of these four variables was multiplied with its appropriate alternate to produce variables which indicated the extent to which individuals adhered to controllability beliefs in each of the models of helping and coping. For example, "Onlow" and "Offlow" were multiplied together to create the Medical model, which maintains that people have no control over either the cause or cure of their disorder. Individuals received a positive value for only one of the four helping and coping variables, and zeros for the other three variables, as they could believe in only one of the four helping and coping models.

Multiple regression diagnostics indicated that, although the four variables assessing the four models were correlated, they did not present problems of multicollinearity. The variables were highly positively skewed. Therefore, a logarithmic transformation was applied to reduce the skew and kurtosis. Despite this transformation, kurtosis was still high for two construct variables (Compensatory = 14.4, Enlightenment = 10.2) and low to moderate for another (Moral variable = 2.3). The high kurtosis of the two models is not of grave concern, as maximum likelihood test statistics are quite robust to non-normality in data (Hu, Bentler, & Kano, 1992). Kurtosis was 1.5 or less for all other social-demographic, belief, affect, helping and coping, and rejection variables. Application of measurement model

The four models of stigmatization of mentally disordered persons were analyzed with essentially the same measurement model in order to validly compare their relative goodness of fit. Including only the variables and paths specified by each model would not have resulted in a nested series of models and, thus, would have prevented valid comparison of each model's fit to other models. Therefore, variables not specifically included in a theoretical model were entered in the measurement model, but with no paths to other variables. Theoretically or statistically significant covariances of these variables were included as part of the measurement model, to ensure that the lack of fit of a model was not due to poor fit in the measurement model, but only due to lack of fit in the structural model (e.g., age, education, and their covariance were modelled in testing Weiner's (1993) model, but no causal paths to other variables were specified). The inclusion of extraneous variables and their covariances in the measurement model of the test of a theory is valid, as the theory implies that these variables would not affect the phenomena under study.

The same measurement model was used in data analysis, with one minor variation. The first measurement model included onset and offset controllability, social-demographic variables, contact with mentally disordered persons, beliefs about their dangerousness and likelihood of harming others, emotional reactions to mentally disordered persons, and social rejection of them. The second measurement model had all of the above variables, with the exception of onset and offset controllability. These were replaced with four variables that measured the degree to which individuals held beliefs about offset and onset controllability of mental disorder similar to the models of helping and coping by Brickman et al. (1982). The relations of measured variables to each other and their loadings on their associated latent constructs are diagrammed in Appendix F. The two sets of controllability variables were not included together in the same measurement model because their correlations with each other would have led to problems with identification and multicollinearity. The means, standard deviations, and correlations of all the variables used in the analysis are presented in Appendix G.

Data screening revealed problems with missing data in the 1990 WAS dataset. Only 396 cases had complete data, out of a total of 506 cases. The majority of these cases were missing only one or two data points from the 31 variables used in data analysis. The SEM program used

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in analysis, EQS, requires complete data (Bentler, 1993). Values for each missing data point were imputed by multiple regression, using as independent variables all variables which correlated with the dependent variable in question. The imputed values were constrained to be in the range of the variable. Seven cases had too much missing data to be usable and were dropped. The ten most kurtotic cases were dropped as outliers, leaving 489 cases for data analysis.

## Goodness of Fit of Theoretical Models

## Goodness of fit of Weiner's model

The first theoretical model evaluated was that of Weiner (1986; 1993), in which onset controllability beliefs are hypothesized to cause affective reactions which, in turn, cause behavioral intentions. The fit of this model to both the 1989 and 1990 WAS dataset was quite poor. Exact fit indices and standardized path coefficients from the 1990 WAS dataset are included in the diagram of the model below in Figure 2. The p value of this, and all other models in this study, was less than .001, which is unsurprising given the large sample size. Only statistically significant path coefficients appear in Figure 2.

Not only was the fit of the model poor, but theoretically important paths were statistically insignificant. In particular, onset controllability had insignificant paths to pity/sympathy/fear and to liking. Onset controllability had a moderately large path coefficient to blame/anger/fear. As predicted, increased perceptions of controllability over the origin of mental disorder resulted in increased blame/anger/fear. Also congruent with the model, emotions were linked with rejection. Increased blame/anger/fear predicted increased rejection in social responsibility and social relations. However, greater pity/sympathy/fear also predicted more rejection in social responsibility and in social relations, contrary to the hypothesized negative link between pity and rejection. The strongest link was liking's negative path to rejection in social responsibility and in social relations.

When offset controllability was allowed to predict emotions, instead of simply covarying with onset controllability in the above model, there was no change in any of the model fit indices. Consistent with this finding, the added paths from offset controllability to pity/fear and to liking were insignificant. In contrast, the path from offset controllability to blame/anger/fear was modestly significant and consistent with the expected effect that increased offset controllability was associated with more blame/anger/fear. All other paths were unchanged from the previous model.

Figure 2

Paths and fit indices of Weiner's (1986; 1993) model.

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## Goodness of fit of Brickman et al.'s model

When onset and offset controllability were combined to produce the pattern of controllability beliefs associated with Brickman et al.'s (1982) helping and coping models, there was no change in the fit of the overall model to the data, compared to the test of Weiner's (1993) model discussed above. Fit indices and significant path coefficients appear below in Figure 3. Only two of the four paths (Medical and Moral) from the helping and coping models were significant. Stronger belief in the Medical model predicted decreased blame/anger/fear and stronger belief in the Moral model modestly predicted more blame/anger/fear. The paths from emotions to rejection remained substantially unchanged from the paths reported above for Weiner's (1993) model discussed above. Figure 3

Paths and fit indices of Brickman et al.'s (1982) model.

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# Goodness of fit of the comprehensive model

The comprehensive model added to Brickman et al's. (1982) model the variables of age, education, knowledge/experience with mentally disordered persons, and perceptions of harm and dangerousness, as predictors of affect. This resulted in a notable improvement in fit of the model to the data relative to Brickman et al's. (1982) model alone. Significant path coefficients and fit values of the comprehensive model are in Figure 4 below. Figure 4

Paths and fit indices of the comprehensive model of stigmatization of persons with a mental disorder.

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The improved fit of the model was clearly due to allowing more than controllability beliefs to determine affect. Increased age and education were modest negative predictors of blame/anger/fear. The negative relation between age and blame/anger/fear was contrary to the predicted positive relationship. Increased contact and the perceived likelihood of harm had stronger positive relations to blame/anger/fear, as predicted. Pity/sympathy/fear was positively predicted by increased perceptions of dangerousness and likelihood of harm, and especially by increased age. Only increased contact was a negative predictor of pity/sympathy/fear. Greater liking was strongly negatively predicted by perceived likelihood of harm and less so by perceived dangerousness. Increased contact was a rather modest positive predictor of liking. Finally, with the models of helping and coping, the only significant paths to emotions were modest positive paths from stronger belief in the Enlightenment and Moral models to blame/anger/fear. Stronger belief in the Medical model was a moderate negative predictor of this affect.

Affect continued to predict social rejection, with greater blame/anger/fear modestly predicting more rejection in social responsibility and social relations. Greater pity/sympathy/fear also predicted rejection in social responsibility and in social relations. The strongest link again was liking's path to rejection in social responsibility and in social relations, with greater liking predicting less rejection.

When Brickman et. al.'s (1982) models were replaced with beliefs about onset and offset controllability, the fit values were unchanged, as were all the paths in the model. The only significant path from either controllability variable to affect was that a stronger belief that individuals have control over the onset of their mental disorder positively predicted greater blame/anger/fear, consistent with prior theory and research.

The controllability variables were such modest predictors of affect that a decision was made to test a model with the paths from controllability variables to affect fixed to zero. In other words, affect would be predicted only by social demographics, contact, and perceptions of dangerousness and harm. The  $\chi^2$  value increased by 38 on 12 degrees of freedom (p < .001), and the fit indices decreased by .005 to .01. These changes in the direction of poorer fit show that beliefs about controllability predict affect, but that other variables are much more important predictors of affect.

The fact that social demographics, contact, and perceptions of dangerousness and harm significantly predict affect accounts for the result that both the Weiner (1986; 1993) and Brickman et al. (1982) models have a poor fit to the data. Both models have beliefs about controllability as the only predictors of affect and do not include these other important predictors. Both models have fit indices in the range of .77 to .83, whereas fit indices of .9 or above are deemed to indicate an acceptable fit of the model to the data. Adding social demographics, contact, and perceptions of dangerousness and harm as predictors of affect to create the comprehensive model increased the range of fit indices from .84 to .93. This indicates that although the comprehensive model is a better fit to the data than the Weiner (1986; 1993) and Brickman et al. (1982) models, it is not an excellent fit to the data. New variables or modifications to existing variables (i.e. modelling interactions between two variables) are needed to achieve a better fit to the data for the model. Within the comprehensive model, the most important predictor (negative) of rejection in both social responsibility and social relations was liking, followed distantly by pity/sympathy/fear (positive). In turn, liking was most powerfully predicted (positive) by beliefs about the likelihood of harm from a mentally disordered person. Pity/sympathy/fear was most powerfully predicted (positive) by age.

## Application of Models to Hypotheses

The seven hypotheses presented previously could be tested with different types of data analysis, only one of which was SEM. Simple correlations, partial correlations, and multiple regression were all used to corroborate and amplify the results from the structural equation models. These multiple methods of testing hypotheses cross-validate findings from one approach, making conclusions from the data more certain. Cross-validation was not always possible, as certain hypotheses can be tested with only one approach. For example, interaction effects between variables can be analyzed easily with multiple regression, but are testable only with considerable difficulty in SEM (Saris & Stronkhorst, 1984). Fortunately, interaction effects were not hypothesized to occur in the present study.

## Hypothesis 1

The first of the seven hypotheses presented previously was that positive affect would be the sole predictor of behavioral intentions to accept persons with a mental disorder, while negative affect would be the sole predictor of behavioral intentions of reject them. This hypothesis was tested with both multiple regression and structural equation modelling. Each type of analysis indicated that affect was not the only predictor of social rejection.

Stepwise multiple regression showed that affect variables were rather poor predictors of rejection in social relations when forced in as the initial block of independent variables ( $\mathbb{R}^2 = .078$ ). Forcing in onset and offset controllability marginally improved prediction ( $\mathbb{R}^2$  increased by .05). Adding demographics, knowledge/experience with mentally disordered persons, and perceptions of dangerousness and likelihood of harm in the final block of independent variables notably improved prediction of rejection in social relations ( $\mathbb{R}^2$  increased by .134). Detailed results are presented below in Table 2.

# Table 2

Stepwise Multiple Regression of Affect, Controllability Beliefs, Demographics, Contact, and Beliefs about Dangerousness and Harm Predicting Rejection in Social Relationships

Block #	VARIABLE NAME	BETA	Τ	SIG. T	<b>R</b> <sup>2</sup>	Adj. R <sup>2</sup>
1	Fear	.079	1.61	.109		
	Liking or Attraction	238	-5.01	.000		
	Pity or Sympathy	.102	2.11	.035		
	Blame	.013	.249	.803		
	Anger	002	042	.966		
					.078	.067
2	Control over becoming MI	.005	.084	.933		
	Control over cure of MI	.075	1.424	.155		
					.083	.068
3	Age	.157	3.079	.002		
	Education	013	244	.807		
	Read/seen factual information	036	760	.448		
	Formal education mental health	077	-1.432	.153		
	Interact on job with MI	.108	2.130	.034		
	Coworker was mentally ill	107	-2.256	.023		
	Friend was mentally ill	070	-1.400	.162		
	Live/work near facility	008	179	.858		
· .	Been to facility	.079	1.457	.146		
	Family member had problems	003	052	.958		
	I have had problems	081	-1.674	.095		
	MI dangerous compared to public	.154	2.936	.004		
	Known MI compared to public	.028	.540	.589		
	Likelihood of threats of harm	.050	.676	.499		
	Likelihood of being attacked	.116	1.586	.113		•

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When rejection of mentally disordered persons in socially responsible roles was the dependent variable, affect variables again were rather modest predictors ( $\mathbb{R}^2 = .124$ ). Adding controllability beliefs in the next block of independent variables did not increase prediction of rejection ( $\mathbb{R}^2$  did not change). However, adding social demographics, knowledge/experience with persons with a mental disorder, and perceptions of dangerousness and likelihood of harm in the final block of independent variables markedly improved prediction of rejection in socially responsible roles ( $\mathbb{R}^2$  increased by .184). Detailed results are presented below in Table 3.

# Table 3

Stepwise Multiple Regression of Affect, Controllability Beliefs, Demographics, Contact, and Beliefs about Dangerousness and Harm Predicting Rejection in Socially Responsible Roles

Block #	VARIABLE NAME	BETA	Т	SIG. T	<b>R</b> <sup>2</sup>	Adj. R <sup>2</sup>
1	Fear	.137	2.834	.005		
	Liking or Attraction	186	-4.004	.000		
	Pity or Sympathy	.085	1.807	.072		
	Blame	.103	1.987	.047		
	Anger	.123	2.347	.019		
					.123	.113
2	Control over becoming MI	036	671	.503		
	Control over cure of MI	.009	.174	.862		
					.124	.109
3	Age	012	454	.650		
	Education	115	-2.324	.021		
	Read/seen factual information	027	600	.549		
	Formal education mental health	.003	.067	.946		
	Interact on job with MI	024	515	.607		
	Coworker was mentally ill	017	385	.700		
	Friend was mentally ill	.028	.608	.543		
	Live/work near facility	038	844	.399		
	Been to facility	082	-1.645	.101		
	Family member had problems	.008	.164	.869		
	I have had problems	038	834	.405		
	MI dangerous compared to public	.012	.250	.802		
	Known MI compared to public	.042	.860	.390		
	Likelihood of threats of harm	.192	2.768	.006		
	Likelihood of being attacked	.217	3.147	.002		

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.308 .270 •

Structural equation modelling corroborated that affect was not the sole predictor of intentions to reject people with mental disorders. When social demographics, contact, and beliefs about dangerousness and likelihood of harm were entered as predictors of both latent constructs of rejection, instead of simply covarying with each other, in Weiner's (1993) model, all fit indices increased by .03 - .04 and the  $\chi^2$  dropped by 207.8 with only 8 d.f. This positive change in fit indices and highly significant reduction in  $\chi^2$  indicates these paths to social rejection significantly improved model fit, and again showed that affect is not the sole predictor of rejection.

The same results were produced when social demographics, knowledge/experience, and beliefs about dangerousness and likelihood of harm were allowed to predict rejection in the Brickman et al. (1982) model. The fit indices increased by approximately .05 and  $\chi^2$  dropped by 211.2 on 10 d.f., again indicating that these variables strongly predict social rejection, independent of affect.

When the comprehensive model of stigmatization was augmented by allowing social demographics, knowledge/experience, and beliefs about dangerousness and likelihood of harm to predict both affect and social rejection, there was a slight improvement in fit, compared to when these variables predicted emotion only. The  $\chi^2$  value dropped by 28.2 on 9 d.f. (p < .001), showing again that these variables predicted social rejection, independent of affect.

It is clear that age and education, knowledge/experience, and beliefs about dangerousness and likelihood of harm are related to social rejection, independent of affect. Partial correlation was used to control the emotion factors to understand the magnitude of these relationships. Increased age and decreased education were positively correlated to rejection. Greater knowledge of and experience with mentally disordered people, whether indirect, social, or intimate, was negatively related to rejection. Stronger beliefs that mentally disordered people were dangerous were positively related to rejection, as were stronger beliefs in the likelihood of threat and attack from them. Details of these relationships are presented in Table 4.

## Table 4

# Correlations from Demographics, Contact, and Beliefs about Dangerousness and Harm to Rejection with Affect Controlled

Variable Name	<b>Rejection in Social Relations</b>	Rejection in Social Responsibility		
Age	.19	<b>n.s</b> .		
Education	12	17		
Indirect Contact	<b>n.s</b> .	14		
Social Contact	<b>n.s</b> .	13		
Intimate Contact	16	<b>n.s</b> .		
General Dangerousness	.18	.12		
Known Dangerousness	.11	.10		
Likelihood of Threat	.19	.38		
Likelihood of Attack	.21	.39		

It should be observed that affect did not relate to social rejection exactly as hypothesized. Pity/sympathy and liking were both conceptualized as positive emotions, and thus were expected to result in less rejection. However, in all models, the factor of pity/sympathy/fear was a stronger predictor of rejection than blame/anger/fear. Moreover, pity/sympathy and liking were not significantly correlated, and pity/sympathy was associated with negative emotion (e.g., fear). To illustrate, pity/sympathy and fear loaded on the same factor. Although pity/sympathy had a stronger loading on this factor than fear, the factor's relation to social rejection appears to be almost entirely due to the positive relationship between fear and rejection. Both partial correlations and multiple regression indicated that pity/sympathy had a non-significant relationship with social rejection once fear was controlled for as a covariate or entered first in regression.
# Hypothesis 2

The second hypothesis was that a stronger belief that mental disorder is controllable in both onset and offset (i.e. the Moral model of Brickman et al. 1982) would predict stronger negative affect. The modest positive relationship between stronger beliefs in the Moral model and blame/anger/fear in both the Brickman et al. (1982) model and the comprehensive model (path coefficient = .14) was consistent with the hypothesis.

#### Hypothesis 3

The third hypothesis was that a stronger belief that mental disorder is uncontrollable in both onset and offset (the Medical model of Brickman et al., 1982) would predict stronger positive affect. The increased adherence to the Medical model being negatively related to blame/anger/fear in the two SEM models (path coefficient = -.33) mentioned above, did not invalidate the hypothesis. However, the hypothesis was not actually supported as stated, as the path from the Medical model to liking was non-significant, instead of being positive as predicted. Hypothesis <u>4</u>

Hypothesis four predicted slightly positive affect would be felt toward mentally disordered persons by individuals who considered mental disorder to be uncontrollable in onset and controllable in offset (the Compensatory model of Brickman et al., 1982). This hypothesis was not supported, as there were no significant paths from the Compensatory model to any of the latent constructs of emotion.

### Hypothesis five

The fifth hypothesis, that the Enlightenment model (controllable in onset and uncontrollable in offset), would result in slightly negative affect was supported, although not strongly. There were no significant paths from the Enlightenment model to liking or to pity/sympathy/fear, but a modest positive path existed from this construct to blame/anger/fear in the comprehensive model, as expected.

# Hypothesis six

Hypothesis six received mixed support in the comprehensive model. Increased perceptions of dangerousness and likelihood of harm, older age, lesser education, and less contact with persons with mental disorders were hypothesized to predict negative affect. Lesser education and increased beliefs about the likelihood of harm did predict increased blame/anger/fear. There was no significant relationship between beliefs about dangerousness and blame/anger/fear. However, there was a negative relationship between increased age and blame/anger/fear, and a positive relationship between increased knowledge/experience and blame/anger/fear; both findings which were contrary to the hypothesis.

# Hypothesis 7

Hypothesis seven stated that decreased perceptions of dangerousness and likelihood of harm, younger age, greater education, and greater knowledge/experience with persons with a mental disorder will each independently predict positive affect. The clearest factor of positive emotion was defined largely by liking, with a secondary loading of pity/sympathy. As hypothesized, increased knowledge/experience with mentally disordered individuals, and decreased perceptions of possible danger and harm from them, predicted liking. On the other hand, age and education had no significant relationship to liking.

Pity/sympathy was originally conceived as measuring positive emotion, but fear loaded on this factor with a slightly smaller loading than pity/sympathy did. Interpretation of this seemingly mixed factor is quite problematic, as it positively predicted social rejection. Contrary to the hypothesis, decreased knowledge/experience, and increased age, perceptions of dangerousness, and likelihood of harm, positively predicted pity/sympathy/fear.

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#### DISCUSSION

## Models of Stigmatization

#### Weiner's Model of Stigmatization

Overall, the current results indicate that Weiner's (1986; 1993) model of stigmatization and helping explained less of the variance than the other models explored in the data analyses. Variables other than those incorporated by Weiner into his theory had significant effects, whether analyzed with partial correlations, multiple regression, or SEM. His model is not a sufficient statement of the determinants of social rejection of mentally disordered persons by the public in the current study. It is noteworthy that this study is cross-sectional, and thus could not control extraneous factors that may contaminate the statistical analysis. A controlled experiment or longitudinal study would eliminate some extraneous factors, and thus provide a more methodologically pure test of Weiner's (1986; 1993) model, or the other models, than the current study does. Unfortunately, this was not possible, given the time constraints for this study.

Difficulties emerged with Weiner's (1986; 1993) model of stigmatization other than its lack of explanatory power compared to other models. Although there was some support for the general framework, in which attributions of controllability predicted affect, and affect in turn predicted behavioral intentions, close examination revealed mixed support for each link.

The link from attributions to emotion was supported by the predicted result that perceived increased controllability over the origin of mental disorder resulted in increased blame/anger/fear. However, construing the origin of mental disorder as uncontrollable did not elicit affects linked with altruism, such as sympathy, pity, or liking, as hypothesized by Weiner (1993). Instead, there were no significant relationships between beliefs that mental disorder was uncontrollable in origin and affects of sympathy, pity, or liking.

The link from affect to behavioral intention was somewhat problematic also. Greater blame/anger/fear weakly predicted increased social rejection, whereas greater liking strongly predicted decreased rejection, as hypothesized. Further, the fear component of pity/sympathy/fear predicted increased rejection, congruent with Weiner's (1986; 1993) model. What is rather surprising is that, once fear had been controlled as a covariate, pity had no significant relationship with rejection. This did not occur in previous research, where pity was strongly associated with liking and help-giving (Weiner et al., 1988). The connection of pity to fear and subsequent social rejection in the present research is not easily explained.

One possibility of how fear is linked to both pity and blame/anger is that fear may be the basic emotional reaction of most individuals to persons with mental disorders (Tefft, 1995). This fear arises from their perceived dangerousness and likelihood of harm, which are very important predictors of affect in the comprehensive model. If fear is indeed the primary emotional response to mentally disordered persons, then individuals may respond secondarily in two ways. The first is a fearful response with compassion intermingled, such as being confronted with someone with a dangerous infectious disease, in which one may wish to help the needy person, but be simultaneously frightened of becoming ill or harmed oneself. The second type of response may be fear and hostility intermingled, as if meeting a violent criminal.

Another problem with the link in Weiner's (1986; 1993) model from affect to behavioral intention is that affect was not the sole predictor of behavioral intention, as he hypothesized. Age and education, knowledge/experience with persons with a mental disorder, perceptions of their dangerousness, and likelihood of harm all predicted intended social rejection independent of affect. The impact of these variables independent of affect is not entirely surprising. Other models of intended behaviour, such as the theory of planned behaviour (Ajzen & Madden, 1986), do not include affect as a predictor of behaviour. Even though affect is excluded from the theory of planned behaviour, considerable support has accumulated for its predictive validity (for a

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review, see Kuelker, 1994). The success of general models of intended behaviour that do not include affect shows that affect is not the sole proximal cause of behaviour, as Weiner (1986; 1993) implies.

To be fair, the theory of planned behaviour is quite general and was not formulated to explain helping behaviour, as was Weiner's theory. However, other models of helping behaviour exist and theorists argue that, in most instances where the person must decide to act prosocially, a strong affective response is not forthcoming because the other's need is not compelling, or because immediate action is not necessary (Eisenberg, 1986). In these cases, help-giving or neglect is largely motivated by cognitive factors (e.g., analysis of costs versus benefits of helping) or personality factors (e.g., self-esteem and self-focus), not affective factors. Thus, it is not surprising that factors other than affect, such as beliefs, age and education, and contact partially determine whether mentally disordered persons would be helped or neglected by the public.

#### Brickman et al.'s Model of Helping and Coping

Although it has been noted previously that the models of helping and coping derived by Brickman et al. (1982) were theoretically more sophisticated than Weiner's (1986; 1993) model because they added offset controllability to onset controllability, this theoretical change did not enhance prediction of social rejection. This lack of empirical improvement of prediction may be due to various statistical and theoretical factors.

Several statistical and methodological reasons exist for the lack of increase in explanatory power of Brickman et al's. (1982) models over Weiner's (1986; 1993) model. First, the variables which measured Brickman et al's. (1982) models were highly kurtotic and skewed, even after logarithmic transformations to reduce kurtosis. This kurtosis may have attenuated the magnitude of the relationships of the constructs with the affect factors. Second, nearly a quarter of the respondents did not endorse, even in a minimal fashion, any of the four models. These individuals stated that they did not know how much control a person with a mental disorder had over either the cause or the cure of their illness. Functionally, their responses acted as missing data and error variance, and would have weakened relationships to other variables. This may account for the fact that the only significant relationships observed involved the models which the most people endorsed (i.e., the Medical and Moral models.) Third, combining just two variables to represent Brickman et al's. (1982) models is not a comprehensive assessment of them. Better measurement of the latent constructs by using more variables may reveal stronger relationships with other variables.

An important methodological issue also exists as to the measurement of offset controllability, which is part of Brickman et al.'s (1982) models. When onset controllability is assessed, the respondent has observed a real outcome or event (i.e., another person has a stigma). Presumably, the respondent searches for attributions as to why the other person has the stigma (e.g., they are the victim of uncontrollable forces, or they brought the problem on themselves by their own stupidity, laziness, etc.). Based on these attributions, the respondent experiences affective reactions, which determine helping behaviour (c.f. Weiner, 1980; 1986).

However, when offset controllability is assessed, it is not clear that the respondent is reacting to an actual outcome or event. The stigmatized person's actual choices or attempts at offset control are not presented (e.g., Perry, 1991). The respondent has not observed the events surrounding offset control, or their outcome. He or she cannot engage in a clear attributional search as to why the events around the offset of the stigma occurred (e.g., the cure is beyond the stigmatized person's control, or the cure is in their control but they do not want to bother to help themselves). As a clear attributional search is not possible, affect will not be generated as a result of the attributions, and helping behaviors also will not follow.

If it appears that a problem such as mental disorder is controllable, and continuing through time, an observer may assume that the stigmatized person does not care to solve his or her own problem because of laziness, pride, etc. The observer would then respond with blame and anger, and neglect to help. However, an alternate explanation exists as to why a controllable problem is continuing. It may be that the stigmatized person is working valiantly to bring about his or her cure, but the process is lengthy and the problems is not yet resolved. In this case, others would possibly react with considerable pity and liking to someone struggling to cure a difficult problem.

This attributional ambiguity around offset controllability would result in confused or inconsistent affective responses. As affect is a major determinant of helping intentions, these also would be ambiguous or inconsistent. In summary, because of the conceptual and methodological issues in the measurement of offset controllability, it would appear to have weak relationships with affect and helping intentions. Onset controllability does not have these difficulties and could have stronger relationships with affect and behavioral intentions than offset controllability.

The above difficulties could also explain why entering onset and offset controllability, which both predicted affect, in a model as covarying variables did not result in offset controllability predicting affect. In fact, there was no change in the fit or parameters of the model relative to when the Brickman et al. (1982) models were used, showing that offset controllability did not have predictive power.

In addition to the above issues, there is a theoretical reason why Brickman et al's. (1982) models did not provide more explanatory power than Weiner's (1986; 1993) model. Essentially, the multitude of potential causes and cures for mental disorder may be bewildering to the public, as they are to researchers and clinicians. A layperson may not hold to any one of these constructs with particular clarity because of the controversy over differing causes and cures. Alternately, that person simply may not have thought much about the issues. The constructs may only be represented in purer and, hence, more measurable form in institutions or groups which are involved in helping individuals with mental disorders and, thus, must formulate a model of cause and cure of mental disorder.

Although offset controllability did not predict affect, either as a covariate of onset controllability or when combined with it as in Brickman et al. (1982), other variables were significant predictors of affect and social rejection. When added, they make a comprehensive model of stigmatization of mentally disordered persons.

## **Comprehensive Model of Stigmatization**

The considerable increase in percentage of variance explained in regression and in model fit when age and education, knowledge/experience, and beliefs about dangerousness and likelihood of harm were added shows that these are important predictors of affect and social rejection, independent of beliefs about controllability. Their importance as predictors was seen when a variant of the comprehensive model was analyzed without controllability beliefs as predictors of affect. There was only a modest worsening of fit (and decrease of  $\mathbb{R}^2$  in multiple regression) when controllability beliefs were not predictors, relative to when they were included in the comprehensive model.

# Controllability beliefs in the comprehensive model

Several observations can be made about controllability beliefs as predictors of affect, which in turn predicts helping intentions. To begin, controllability beliefs are indeed significant predictors of affect and social rejection. This is in accordance with research by Weiner (1980) and others, reviewed above. However, the better fit of the comprehensive model shows that controllability is not the sole predictor of affect. The predictive power of other variables presents a challenge to Weiner's (1986;1993) theory of stigmatization and helping intentions as currently stated.

Another important observation is that, in the present study, variables other than controllability beliefs were far more powerful predictors of affect and social rejection. Several possibilities may explain this phenomenon. To begin, previous research generally had undergraduates respond only to questions about controllability, affect, and behavioral intentions. The present study interviewed community dwelling adults on a wider variety of issues and beliefs about persons with a mental disorder. Differential responses may be given by undergraduates who, because of their education, may be more attuned to a cognitively-oriented causal analysis than the general public. Furthermore, responses may be influenced by the limited scope of the questions asked of undergraduates (e.g., which affect would you feel, would you help or not). A wider assortment of questions such as those asked in the 1990 WAS but not included in the present study (e.g., questions about authoritarianism, beliefs about mental health, etc.) may evoke more diverse responses and weaker correlations. Finally, undergraduates are less diverse in terms of age, education, and experience than community dwelling adults, which may produce a different pattern of results between the two samples.

Another possible reason why other variables overshadow controllability as a predictor of affect is that stigma type predicts social rejection independent of affect (Reisenzein, 1986). Not all stigmas are responded to equally when controllability is held constant. These differential responses may be because various stigmas have attributes other than controllability associated with them. Researchers have listed several of these attributes, such as (a) visibility and obtrusiveness of a stigma (Goffman, 1963); (b) threat, whether of economic, societal, or physical harm (Katz, 1979); (c) ambiguity and disruption of social interaction; and (d) physical offensiveness (Albrecht et al., 1982). Depending on the stigma, these associated attributes may overshadow the controllability dimension as determinants of affect and social rejection.

The relative importance of controllability and other attributes of a stigma in determining helping intentions is a source of confusion and contradiction in the literature. Research by Weiner and others (Reisenzein, 1986; Weiner, 1980a; 1980b) consistently shows controllability to be a very important determinant of affect which, in turn, determines helping intentions. In contrast, Albrecht et al. (1982) found no correlation between controllability and social rejection. For example, alcoholics were held less responsible than those with heart disease for their condition,

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yet had far more social rejection than the latter. Controllability was largely irrelevant as a determinant of rejection and other attributes of these stigmas determined helping intentions.

These contrary results may be resolved by examining the type and nature of helping intentions in the different studies. In studies by Weiner and his associates (Graham & Weiner, 1991; Reisenzein, 1986; Weiner, 1980a; 1980b), respondents read brief vignettes of people in need and were asked if they would provide short-term help to these people in the context of a very brief social interaction. Similarly, in a study of various stigmas requiring longer-term help, subjects were asked what types of government-administered help they would recommend and whether or not they would give personal assistance (the nature of the assistance was unspecified; Weiner et al., 1988). The hypothesized social interaction between the needy or stigmatized and the respondent was generally very brief and impersonal in this research programme. In marked contrast, the research by Albrecht et al. (1982) asked respondents how willing they would be to engage in long-term, close social knowledge/experience with stigmatized persons. The frequency and intimacy of social knowledge/experience was much greater in this latter study than in the studies by Weiner and his associates.

As frequency and intimacy of social interaction with stigmatized people is a major discriminating factor between the two research programs, it is plausible that these variables make attributes of stigmas other than onset controllability more salient as determinants of rejection. Variables which would negatively affect long-term social interaction, such as the possibility of embarrassing social scenes, or excessive dependency, or even violence from the stigmatized group, could be more salient and powerful determinants of social rejection than onset controllability.

This hypothesis is supported by other results from Albrecht et al. (1982). They asked openended questions of their respondents as to why they thought people would reject stigmatized individuals. Content analyses of these responses revealed that ambiguity and discomfort in social interaction was the most frequently given reason for rejecting stigmatized people. This was especially true for physically disabled persons (83% of responses). Additional reasons were given for rejecting individuals with social disabilities, such as ex-convicts or persons with a mental disorder. In the latter case, threats to social well-being or physical well-being were frequently cited as reasons for rejection (44%), as well as perceptions of moral or characteriological weakness (24%). Individuals with social disabilities consistently evoked more social rejection than physically disabled persons.

What is noteworthy is that most of the reasons for rejecting stigmatized people would be salient only in long-term, close social interaction with them. Ambiguity of social interaction, and threats to physical and social well-being, would not be major concerns in impersonal and/or very brief social encounters and, thus, would not influence rejection. Since these variables are minimized, other attributes of stigmas, such as onset controllability or moral weakness, would emerge as more powerful determinants of social rejection.

It is possible that it is not only the number of stigma attributes involved that determines rejection, but also their potency. If a stigmatized person is considered a threat to one's physical well-being, that person will be rejected much more than if the stigmatized person presents a problem in terms of ambiguity of social interaction. This may explain why socially disabled persons are rejected more intensely than physically disabled people, since socially disabled persons are more often considered to be threats to physical well-being.

Relative potency of attributes would make attributes appear trivial at some times and important at other times. To illustrate, if controllability is paired with two different attributes in two different stigmas, one attribute (e.g., ambiguity in social interaction) may be weak in potency relative to controllability, making controllability the major determinant in rejection. In the second stigma, the other attribute (e.g., threat to physical well-being), may be more potent than controllability, relegating controllability to be a minor determinant of rejection.

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The weakness of controllability as a determinant of affect relative to other variables in the present study may now be understood as resulting from different factors. To begin, the context of behavioral intentions was how willing the respondent would be to engage in long-term, close social interaction with persons with a mental disorder. As noted above, this context is very different from behavioral intentions in very brief and/or impersonal social contact, which is characteristic of Weiner's (1980b) approach. Because the present study used long-term, close social interaction as the context of intentions, attributes of persons with a mental disorder (e.g., perceived likelihood of harm) other than their controllability over the onset of their mental disorder would become relatively more salient.

Not only were these other attributes and causes of affect salient, they were far more potent than controllability beliefs in terms of how much they predicted affect. One latent construct by itself (likelihood of harm) had larger path coefficients to affect than did controllability beliefs. This indicates that, at least in some contexts, the public is more responsive to attributes other than onset controllability when responding to mentally disordered persons. As these attributes and determinants of affect can be more important than onset controllability in the current context, our discussion will turn to these attributes and determinants.

# Other attributes and causes in the comprehensive model

The single most important attribute of mentally disordered persons in determining affective reactions was perceived likelihood of being personally harmed by them. This appears consonant with research reviewed previously that perceptions of dangerousness results in greater rejection (Trute et al., 1989). However, an important distinction exists. The distinction is that perceptions of the dangerousness of mentally disordered persons in general, and those the respondent knew personally, was also directly assessed in this study. However, dangerousness was not a powerful predictor of affect, which is surprising, as the correlated factor of likelihood of harm was such a strong predictor. The explanation may be that inquiring about the general dangerousness of

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persons with a mental disorder is more abstract and impersonal than asking about the likelihood of these persons, living in one's neighborhood and meeting the respondent, harming him or her personally. Stronger affective reactions may be generated when personal outcomes are involved, which would be reflected in the larger path coefficients of likelihood of harm to affect.

Age and education also predicted affect, although not as hypothesized. Increasing age was a modest negative predictor of blame/anger/fear and a strong positive predictor of pity/sympathy/fear. Partial correlations revealed that age was more related to pity/sympathy, not fear. These results are contrary to what was hypothesized, that increasing age would predict more negative affect. Curiously, even though individuals of greater age felt more pity toward persons with a mental disorder, this did not translate into less rejection. When pity was controlled, or all five emotions were controlled, there was no significant change in the correlation of age to social rejection (both f's = .19), compared to when age correlated directly with social rejection (r = .21). Increasing age was associated with greater rejection in social relations, regardless of pity or any other affect that was felt.

These results are difficult to reconcile with Graham and Weiner (1991), who concluded that pity and anger determine helping intentions, more so than beliefs about controllability, and that this pathway was consistent across groups that ranged in age from 5 to 95. A possible solution to these contradictory findings is that in Graham and Weiner's (1991) study, the help-giving was in the context of a minor emergency, and was very brief, impersonal, and did not expose the helpgiver to any possible danger or harm. The current study assessed personal, longer-term helpgiving, which some respondents believed could expose them to possible harm. This different context and higher perceived costs of help-giving may activate beliefs or other factors that are more salient to people of increased age.

Education was a modest negative predictor of blame/anger/fear, as predicted, but it was not a positive predictor of liking as hypothesized. Education may serve to inform and sensitize people as to the plight of mentally disordered individuals, resulting in slightly less negative affect, but it may not make persons with a mental disorder more appealing and likeable. The small correlations of education to affect did not mean that education was insignificant, as it negatively correlated with both types of social rejection when affect was controlled in partial correlations.

Consistent with prior research, more education and younger age predicted less social rejection in this study. The puzzling finding is that more education and younger age did not produce affects that were consistent with helping intentions. It even produced affects contrary to the respondent's stated helping intentions, in the case of increasing age producing more pity/sympathy, but also more social rejection. The context and possible costs of help-giving, the nature of the recipient's problem, and other salient beliefs related to education and age, may all account for affect being unrelated, or even contrary, to helping intentions. Clearly, more research is necessary to explore these findings and hypotheses further.

The final determinant of affect and social rejection in the current study was knowledge of, and experience with, mentally disordered persons. Correlations revealed that overall, increased knowledge/experience or contact of any type led to less rejection. At a more specific level, individuals with contact at a social level with persons with a mental disorder reported mixed emotions to them. They reported increased liking, decreased pity/sympathy/fear, and increased blame/anger/fear, each at about the same magnitude of correlation ( $\underline{r} \approx .13$ ). Two hypotheses may account for these mixed emotions resulting from social contact.

The first possibility is that an individual who has had rather brief social contact with a mentally disordered person would have only a partial view of mental disorder and would be unaware of all it's facets. However, with a complex phenomenon such as mental disorder, a partial view may lead to inconsistent or confused responses. People who have just had indirect contact with persons with a mental disorder have no direct experience and would not necessarily be aware of the complexity of the issues. They would have only a stereotyped view and their

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responses would be more one-dimensional and, thus, more consistent. Those who have had intimate contact with mental disorder would have wrestled with the complexity of the issue, and possibly come to a consistent position.

A second hypothesis as to why mixed emotions result from social contact is that some respondents would have had negative experiences with persons with a mental disorder, resulting in blame and anger. Others would have had more positive experiences, leading to liking and less fear. Grouping those with positive and negative experiences together would allow correlations with both blame/anger/fear and liking to emerge, as the two groups of emotions are orthogonal. If the two types of emotions were strongly correlated, these differential responses would not be observed. The data support the hypothesis that some individuals have positive experiences with persons with a mental disorder, leading to liking, and others have negative experiences, leading to blame/anger. Correlations between social contact and one type of affect were unchanged when the other type of affect was controlled for, as opposed to when it was not.

#### Testing Models of Stigmatization

Many of the relationships among beliefs, affect, and social rejection discussed above were evaluated with SEM and other multivariate techniques. An important issue in this study is whether SEM helped clarify or obscure these relationships, relative to the use of more conventional statistical approaches.

SEM helped clarify relationships in the various models tested in several important and unique ways. One of the most important ways in which it helped to add clarity was to hold the influence of other variables constant to observe one variable's relation to others. Multiple regression cannot hold the influence of an independent variable constant, as regression coefficients change with each independent variable added to the equation. Partial and semi-partial correlations could hold the influence of one measured variable on another constant, but the number of correlations would have become very confusing in this study, as there were so many variables. Additionally, one would be unable to measure the influence of one latent construct on another, holding other latent constructs constant, with partial correlations. This is only possible in SEM.

A second clarification unique to SEM is that two or more dependent variables can be predicted, and serve as predictors, simultaneously. In this study, the three emotion factors were predicted by various respondent characteristics and beliefs, which in turn predicted two social rejection factors. One cannot predict two or more dependent variables simultaneously with other multivariate approaches. In this study, the relationships of respondent characteristics and beliefs to affect would have been obscured. The prediction of social rejection would also be problematic, because this is a two factor scale. Multiple regression would predict only one factor at a time, and would inflate the influence of independent variables in each equation, because they would be predicting variance shared between the two social rejection factors. Furthermore, one cannot test a three step theory, such as the belief  $\rightarrow$  affect  $\rightarrow$  intention theory in this study, with convention multivariate approaches and obtain clear and precise results. One would have to predict affect from belief, intention from affect, and then intention from belief while controlling for affect. Only one (measured or linear composite) variable could be used for affect or intention, instead of two or more (measured or latent) variables, as in the present study. The last area where SEM added clarity was in separating error variance from variance shared among the latent constructs, which again is not possible with conventional multivariate approaches.

Confusion and difficulty does arise with SEM because of its novelty and complexity. Using a confirmatory approach to data analysis is fairly novel. Evaluating the goodness of fit of the different models using different fit indices is confusing to the reader. There is also ambiguity as to the degree to which the lack of fit in the model is due to problems in the measurement model, or due to problems in the structural model in how the measured variables and latent constructs are theorized to relate to each other. Despite the problems in understanding and applying SEM, the various models of the stigmatization of persons with a mental disorder evaluated in this study could not have been evaluated clearly with conventional multivariate methods. Conventional methods would also have sacrificed important information, such as the separation of error variance from true variance. For these reasons, the usage of SEM in this study was appropriate and worthwhile.

## **Future Directions**

## Future Research

A considerable number of hypotheses have been advanced in the preceding pages to account for various findings. These hypotheses and the empirical findings on which they are based need to be cross-validated with future research. Several areas stand out as needing further exploration.

The first area of cross-validation is the need for replication with experimental or longitudinal studies. The data in the present research is cross-sectional, and one cannot consider that the causal processes in stigmatizing mentally disordered persons have been discovered, only that a plausible model of these causal processes has been tested, and other models may exist. It may be that the direction of effects is different, or actually reversed in some paths. However, this is not the case with age and education as they clearly cannot be caused by beliefs or affect. On the other hand, certain beliefs about mentally disordered persons may cause other beliefs, which then result in affective responses, instead of beliefs causing only affective responses, as in the models tested in the present study. It even may be that negative affective reactions are rationalized by adopting various beliefs, and that causal paths are reversed to what is modelled in the present study. As noted, these possibilities can only be tested by experimental or longitudinal research, which could not be conducted in the present instance due to time constraints. Time constraints also prevented testing with Monte Carlo methods under what conditions and with what likelihood Weiner's (1986; 1993) and Brickman et. al's (1982) models would achieve good fit.

The second area involves the relationship of affect to behavioral intentions. To begin, affect was an incomplete predictor of intentions, which is a difficulty for Weiner's (1986; 1993) theory. Furthermore, pity did not conform to any of its hypotheses. It was uncorrelated with liking, when it theoretically should have been highly correlated. Instead, pity was directly correlated with fear. Pity also did not predict helping intentions as hypothesized, and the curious phenomenon was observed of people of increasing age feeling more pity and simultaneously rejecting mentally disordered persons. For these reasons, in this context pity cannot be considered simply as a positive emotion that elicits positive intentions. Instead, it acted more as a negative emotion in the present study, correlating with fear and rejection.

Future research should not only replicate, but explore the correlation of pity with fear and rejection. One possibility to research is that pity correlates with fear when people are confronted with stigmatized individuals who may be a physical threat to them. When stigmatized individuals are not a threat, then pity may correlate with liking because fear is non-existent.

One of the most important areas for future research is whether the social context of helping intentions is a major factor. Substantially different beliefs and affects would be relevant in brief, impersonal contact with the stigmatized, relative to lengthy, close social contact. In the first scenario, likelihood of harm would not be a very salient belief, while in the second, it may well be the most important factor in determining rejection. This could be explored in parallel with the relations of pity, fear, liking and other affects to each other. Individuals could respond to vignettes where they believe there is no likelihood of harm versus where they believe there is some likelihood of harm from interacting with a stigmatized person, with length of interaction varied (brief versus long-term) as well. Affective responses and behavioral intentions would reveal the structuring and relative importance of affect, length of interaction, personal distance, and likelihood of harm in responding to stigmatized people. The fourth issue that should be examined is the relative importance of controllability versus other determinants of affect and helping intentions. Offset controllability may be as important as onset controllability, if it is measured after an outcome is known, or if it is measured more validly. Controllability in general may be less powerful than other beliefs, regarding mentally disordered persons, such as the likelihood of harm. Conversely, controllability may be more powerful than education, or social discomfort in interacting with the stigmatized, in determining social rejection. This ranking of causes of rejection would be an important prerequisite to developing a comprehensive theory of social rejection to all individuals with stigmas. If a comprehensive theory is possible, then it would point out what the most important beliefs and affects are and how to change them in order to reduce the rejection of stigmatized individuals.

# Application of Research

If future research confirms the findings and hypotheses presented here, then important steps can be made to reduce the social rejection of the mentally disordered by the general community. The first step would be to address educational campaigns to the most potent determinants of social rejection of persons with a mental disorder. Focusing on the most important factors would be the most effective way of decreasing rejection. It would also be cost-effective, as the total effort required would be less than if one tried to change every possible belief that may result in rejection. Finally, it would be less overwhelming for the public, as they would be exposed to messages regarding two or three beliefs, rather than a half-dozen or more.

A second application is that interventions to increase positive behaviour can be tailored according to the type of behaviour required. If impersonal, brief helping behaviors are important, such as soliciting donations, then the factors which increase helping in such conditions can be addressed in the intervention. For example, if the hypothesis advanced above is correct, onset controllability may be the most important factor in determining helping in brief impersonal conditions. However, if one is attempting to smooth the placement of a mentally disordered person in a work setting, then beliefs pertinent to long-term, close social interaction should be discussed with potential co-workers. These may very well include questions about the likelihood of harm from the mentally disordered person, the workers' affective responses to persons with a mental disorder in general and/or the individual to be placed in particular.

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Appendix A. Measure of education What is the highest level of education that you (and your snouse/partner) have completed?		
what is the highest level of education that you (and y	Vou spouse pa	Spoure/Dertner
Nr. Cabaalian	100	Spouse raimer
No Schooling	. 01	UI
Elementary School		
Incomplete	. 02	02
Complete	03	03
Junior High School		
Incomplete	. 04	04
Complete	05	05
High School		
Incomplete	. 06	06
Complete (GED)	07	07
Non-University (Voc/Tech, Nursing Schools)		
Incomplete	. 08	08
Complete	09	09
University		
Incomplete	10	10
Diploma/Certificate (Hygienists)	11	11
Bachelor's Degree	12	12
Medical Degree (Vets, Drs., Dentists)	13	13
Master's Degree	14	14
Doctorate	. 15	15
NO SPOUSE		97
Don't Know		98
No Response	.99	99

90

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# Appendix B.

# Measures of affective responses toward mentally disordered persons

#### Introduction

Now we'd like to focus on a completely different aspect of mental illness. We know that people with a disability of some kind can arouse strong feelings in other people who don't have that particular disability. Therefore, we're interested in how mentally ill people as a group make you feel. Please understand that there are no good or bad feelings here, and no value judgements of any kind are implied by our questions. We mostly want to know how you respond emotionally to mentally ill people.

- 1. How much fear do you feel toward mentally ill people?
- 2. How much liking or attraction do you feel toward mentally ill people?
- 3. How much pity or sympathy do you feel toward mentally ill people?
- 4. How much blame do you feel toward mentally ill people? In other words, how much do you feel that the mentally ill are to blame for their condition?
- 5. How much anger do you feel toward mentally ill people?

# Appendix C.

## Social Rejection Scale

Social Relations Factor.

1. You would strongly discourage your children from marrying someone who had been a patient in a psychiatric hospital.

2. You would not resent the presence of a residence for discharged psychiatric hospital patients in your area.

3. You would agree to providing board and room for a discharged psychiatric patients in your home if you had room.

4. You would not object to a member of your family dating someone who had been a patient in a psychiatric hospital.

5. You would not object to a group of discharged psychiatric patients renting or buying an apartment or house on your street.

6. You can imagine yourself falling in love with someone who had been a patient in a psychiatric hospital.

7. If the house next door was for sale, you would object to someone with a history of psychiatric problems buying it.

Social Responsibility Factor.

1. If you were a manager and were responsible for hiring people to work for you, you would be willing to hire a discharged psychiatric patient.

2. You would welcome someone who had spent time in a psychiatric hospital to take part in your community functions.

3. You would be willing to work on the same job with someone who had been a patient in a psychiatric hospital.

4. If you were responsible for renting apartments in your building, you would not hesitate to rent living quarters to someone known to have been in a psychiatric hospital.

# Appendix D.

Knowledge of and Experience with Mentally Disordered Persons Scale

Impersonal contact factor

- 1. I have lived or worked close to a mental health facility.
- 2. I have been to a mental health facility but not as a client or patient.
- 3. I have received some formal education regarding mental health.
- 4. I have read factual information or seen factual TV programs concerning mental health.

Social contact factor

1. A friend of mine currently has or in the past has had mental problems.

2. I am currently working with or in the past have worked with a coworker having mental health problems.

3. In my job, I sometimes interact with or in the past have interacted with members of the public who appear to have mental problems.

Intimate contact factor

- 1. I currently have or in the past have had professional help for mental problems.
- 2. A member of my family currently has or in the past has had mental problems.

caused from a latent variable and error variance, but as being causal indicators of a latent variable (Bollen & Lennox, 1991). For example, socio-economic status (SES) may be determined by a person's income, education, neighborhood, and occupational prestige. An increase in a person's occupational prestige would increase their SES, even if the other variables remained constant. The reverse is not true, that an increase in the latent construct of SES would also increase the causal indicators of income, education, etc. Bollen and Lennox also show that if income, education, etc are combined into a linear composite, instead of being viewed as causal indicators, then the linear composite is an inconsistent estimator of the latent construct of SES. One implication of this is that multiple regression produces incorrect results when it uses linear composites, as it very frequently does.

Whether multiple indicators are seen as effects of latent constructs or as causal indicators of them, SEM provides the most powerful use of them by separating error variance from true variance among the latent constructs. Furthermore, since the true variance among the latent constructs is being estimated by SEM, it provides more powerful and accurate tests of a theory than do other statistical techniques (Martin, 1987).

# Statistical Assumptions of SEM

The statistical power cited above is not achieved easily, as SEM requires that certain assumptions be met. First, multivariate normality of variables is assumed for estimation by the most widely used and researched technique in SEM, maximum likelihood theory (Bentler & Bonett, 1980). However, there are other, less demanding techniques. Elliptical theory requires only symmetric data, not normally distributed data, while arbitrary distribution theory allows analysis of data with any type of distribution (Bentler, 1993). These last two methods, which are very technical to describe, are not well researched as to their performance.

A second assumption in SEM is that moderate to large sample sizes are used, as the statistical theory has been developed with the assumption of large sample properties (Bentler &
Bonett, 1980). When small samples are used, SEM has little power to detect a false model. Various studies suggest that sample sizes of 100 represent the lower bound of usefulness and larger samples should be used if the data is non-normal (Tanaka, 1987). Sample-size appropriateness is linked to the ratio of number of subjects to the number of parameters to be estimated. One guideline is that there may be as few as 5 participants per parameter to be estimated with normally and elliptically distributed data versus 10 participants per estimated parameter for arbitrarily distributed data (Bentler & Chou, 1987b). The estimation of parameters will be discussed below.

#### Concepts and Equations Used in SEM

## **Definition of Variables**

The concepts in SEM are slightly different from those in multivariate techniques. To begin, the Bentler-Weeks theory (Bentler, 1993), which is the approach used here, defines a dependent variable as a variable that is expressed as a structural regression function of other variables. When latent variables are involved, the measured variables are viewed as being effects of (a) the latent variable with which they are associated and (b) the corresponding error term. Thus, both measured variables and latent variables which are caused by other latent variables are dependent variables. Any variable which is not a dependent variable is an independent variable, including error terms in the measured variables and disturbance terms in latent variables. A disturbance term is random variance in a latent variable which is not accounted for by the regression equations. As in multiple regression, the parameters to be estimated in a SEM model include the regression coefficients. Unlike multiple regression, the parameters in SEM also include the variances and covariances of the independent variables. In contrast, the variances and covariances of the dependent variables are not parameters to be estimated, but are to be explained by the other parameters.

#### Matrix Equations

The fundamental matrix equation for SEM in the Bentler-Weeks theory is:

 $\eta = \beta \eta + \gamma \xi$ 

 $\eta$  is the matrix of dependent variables, which includes latent constructs which are caused by other latent constructs and measured variables associated with a latent construct.  $\beta$  is the matrix of regression coefficients of the dependent variables on each other.  $\gamma$  is the matrix of structural regression coefficients of the independent variables on the dependent variables. Finally,  $\xi$  is the matrix of independent variables. It includes causal latent variables, disturbance terms associated with latent variables, error terms associated with measured variables, and causal measured variables which are not associated with a latent variable, such as in path analysis. The variances and covariances among the independent variables are collected into the matrix  $\Phi$ , which is defined as:

 $\Phi = E(\xi * \xi')$ 

assuming that all variables are expressed as standard deviations from the mean. All parameters to be estimated are in the matrices  $\beta$ ,  $\gamma$ , and  $\Phi$ .

#### Solving for unknown parameters

Not all parameters in the matrices  $\beta$ ,  $\gamma$ , and  $\Phi$  need be estimated. Some parameters or coefficients can be fixed to certain classes of values based on theoretical considerations. These values can be (a) zero, indicating an absence of effects, or (b) a specific nonzero value indicating an effect of specifiable magnitude, or (c) equal or proportional values, indicating equal or proportional effects or variances (Hayduk, 1987). The number of parameters which must be estimated cannot exceed the number of data points. Data points are the variances and covariances of the measured variables. For p variables there are  $\{p(p + 1)\}/2$  data points. If the number of parameters to be estimated exceeds the number of data points in a structural equation, then there is insufficient data to provide estimates of the parameters and the model is said to be under-

identified. It is important to note that if there are insufficient data points for just one structural equation, then the whole model with all of its structural equations is considered under-identified and, thus, cannot be evaluated correctly (Bentler, 1980).

If the number of parameters to be estimated equals the number of data points, so that there is only one possible solution to the structural equation, then the model is said to be just-identified. A just-identified or saturated model has zero degrees of freedom and can be fit to any set of data without error (Bentler & Bonett, 1980), since the parameters are simply transformations of the data (Bentler & Chou, 1987a). As a result, it is theoretically uninteresting because there are no degrees of freedom available with which to test hypotheses.

A model which has fewer parameters to be estimated than data points results in multiple ways to calculate the coefficients in a structural equation (Hayduk, 1987). This model is termed over-identified and, because of the excess of data points to parameters to be estimated, it has one or more degrees of freedom available with which hypotheses can be tested. This is the desired condition for a model since it is testable. Identification is more complex than presented here and Hayduk (1987) is recommended.

#### **Evaluation of Model Adequacy**

If a model is over-identified and, hence, estimates of parameters are possible with additional degrees of freedom available for testing hypotheses, estimates are computed according to various distribution theories. The choice of distribution theory depends on the nature of the distribution of the data, as discussed above. If the data are multivariate normal, then maximum likelihood or generalized least squares can be used to generate parameter estimates (Bentler & Bonett, 1980). Subsequently, the accuracy of these estimates needs to be evaluated. The estimated and fixed parameters are collected into the matrices  $\beta^{\wedge}$ ,  $\gamma^{\wedge}$ , and  $\Phi^{\wedge}$ , which are multiplied together to generate the predicted covariance matrix  $\Sigma^{\wedge}$  (Bentler, 1993). As noted above, the difference

between  $\Sigma^{\Lambda}$  and the sample covariance matrix S is distributed as  $\chi^2$ , with a non-significant value indicating that the theoretical model is a good fit to the data.

#### **Initial Model Evaluation**

Although a non-significant  $\chi^2$  value indicates the model is a good fit to the data, two effects influence the  $\chi^2$  value, to the extent that even poor models can be accepted, or that valid models are rejected. These two effects must be examined and their influence minimized or controlled so that the most valid model is accepted despite these contaminating effects.

## Sample size effect

As the  $\chi^2$  value is a direct function of sample size, the sample size has a notable effect on the decision to accept or reject a model. A non-significant  $\chi^2$ , which would indicate accepting the model as a possible explanation for the causal processes in the population, may simply be the result of a small sample size (e.g., 50 participants; Bentler & Bonett, 1980). Conversely, the probability of rejecting valid models increases with sample size. Minimal discrepancies between  $\Sigma^{\circ}$  and S will be amplified by a very large n (e.g., 5,000 participants), resulting in a significant  $\chi^2$ and rejection of a model that is essentially valid.

## Parsimony effect

A second difficulty in evaluating the goodness of fit of  $\Sigma^{\wedge}$  to S is that, generally speaking, models that are barely over-identified and have many parameters to be estimated have a better chance of being accepted than more parsimonious models with significantly fewer parameters to be estimated (James, Mulaik, & Brett, 1982). Moreover, the greater likelihood of acceptance of complex models increases with sample size (Cudeck & Henly, 1991). There are three reasons for this effect, the first being that capitalization on chance can occur with many parameters (Mulaik, James, Alstine, Bennett, Lind, & Stilwell, 1989). Random variations in the data may be accounted for by many parameters, but this is less likely to occur with few parameters. The capitalization on chance is also known as overfitting, as the model is fit to the particular characteristics of the sample and may not fit another sample drawn from the same population.

Second, the model necessarily fits the data points used in estimating its parameters. The process of fitting a model ensures that it will exactly fit the data points that have been used to estimate parameters. If these data points were not used in estimation, the model would not necessarily fit them. Therefore, models with many parameters to estimate will fit the data better than models with few parameters to estimate. Third, data points used in model parameter estimation will be unavailable for model testing and, thus, fewer data points or degrees of freedom will be available to possibly disconfirm the model. The ideal situation would be to have the value of all parameters specified by theory, not by estimation, so that all data points would be available to test the model. Under these circumstances, each data point would act as a potential disconfirmation of the model and one could be most confident of a model that had survived all the potentially disconfirming tests.

Unparsimonious models are to be avoided not only because they are sometimes accepted inappropriately, but also because they yield parameter estimates that are less precise than more parsimonious models (Bentler & Mooijaart, 1989). The more precise parameter estimates in parsimonious models will yield a more accurate picture of the causal processes that are hypothesized to be operating.

#### Hierarchical Model Testing

Evaluation of a model solely by the criteria of whether a non-significant  $\chi^2$  is obtained is misleading, due to the sample size and parsimony effects discussed above (Marsh, Balla, & McDonald, 1988). A number of strategies and statistics have been proposed to deal with these two effects in model evaluation, with varying degrees of success.

The first strategy in this controversial area is hierarchical model testing, in which two models are compared, one being a more restricted version of the other, or nested inside the other (Bentler & Bonett, 1980). The restriction is that one or more free parameters in the first model  $(M_a)$  are fixed in the second  $(M_b)$ . This restriction can be evaluated statistically because the difference between the  $\chi^2$  value of  $M_a$  and the  $\chi^2$  value of  $M_b$  is itself distributed as a  $\chi^2$  value, with degrees of freedom given by the number of free parameters that are fixed in going from  $M_a$  to  $M_b$ . If the  $\chi^2$  value of the difference between  $M_a$  and  $M_b$  is non-significant, it indicates that the free parameters in  $M_a$  that were fixed in  $M_b$  (usually to zero) were not statistically significant. A significant result of the  $\chi^2$  test indicates that the parameters are statistically significant and explain relations in the model. Comparisons between nested models is possible because sequential  $\chi^2$  difference tests are asymptotically independent (Steiger, Shapiro, & Browne, 1985), although multiple tests may yield significant results on chance alone. Sample size effects should be considered when a parameter is statistically significant, that a very large sample size may detect statistically significant, but theoretically or practically unimportant, parameters within the model.

This process can evaluate a hierarchically nested series of models and the significance of parameters that are fixed and free between models (Bentler & Bonett, 1980). The process has been refined by James et al. (1982) and Anderson and Gerbing (1988). James et al. (1982) recommend first creating a just-identified or saturated model ( $M_s$ ), which has as many parameters as covariance data points in S. Since  $M_s$  is just-identified, it has zero degrees of freedom and fits the data perfectly. One then compares  $M_s$  to a measurement model ( $M_m$ ) that evaluates how measured variables relate to the latent variables they are hypothesized to measure. The submodel that specifies how latent variables relate to each other in causal paths or covariances is known as the structural model.

The free parameters fixed from  $M_s$  to  $M_m$  are parameters going from measured variables to latent variables to which they are hypothesized to be <u>unrelated</u>. Fixing these paths results in the measurement model, since the free parameters in  $M_m$  are from the measured variables to the latent variables to which they are hypothesized to be related. All possible parameters among the latent variables are free, leaving the structural model to be just-identified and fitting the data perfectly.

If the  $\chi^2$  difference between  $M_s$  and  $M_m$  is non-significant, one can conclude that the hypothesized measurement model fits the data. If the  $\chi^2$  difference is significant, the measurement model is faulty because the structural model is fitting the data perfectly rather than causing a lack of fit. If no theoretically sound changes to the measurement model result in good fit, then model testing should stop for two reasons. The latent constructs were not measured adequately and any model more restricted than  $M_m$  will also be rejected since  $M_m$  is rejected.

If  $M_m$  is accepted, then some free parameters in the structural model can be fixed to produce the theoretical model ( $M_t$ ), which specifies the hypothesized causal structure. If the  $\chi^2$ difference is non-significant, then one can accept  $M_t$  as a possible model for the causal processes in the population. However, one should not accept  $M_t$  as the only model, because some free parameters can be fixed to produce a more constrained model ( $M_c$ ) (Loehlin, 1987). A nonsignificant  $\chi^2$  between  $M_t$  and  $M_c$  would indicate  $M_c$  is a plausible model.  $M_c$  may fit better than  $M_t$ , because the gain in degrees of freedom from fixing free parameters offsets the increase in  $\chi^2$ . Alternately, fixed parameters in  $M_t$  can be freed to produce a more unconstrained model  $M_u$ , which is also theoretically plausible and should be evaluated for goodness of fit (c.f. Anderson & Gerbing, 1988).

A more restricted model  $(M_f)$  than  $M_c$  may be tested. wherein all paths in the structural model are set to zero (James et al., 1982).  $M_f$  is the measurement model alone, with the structural model removed entirely. The  $\chi^2$  difference between  $M_c$  and  $M_f$  tests whether the structural model is statistically significant or not, having been eliminated in  $M_f$ . A significant result indicates that the structural model contains significant paths between latent variables which explain part of the data. A non-significant result indicates that there are no significant relationships among the latent variables.

#### Parsimonious fit index

James et. al. (1982) propose a fit index which measures the parsimony of the model by rewarding highly parsimonious models that have nearly as many degrees of freedom  $(d_t)$  as the null model  $(d_0)$ . The Parsimonious Fit Index is defined as:

$$PFI = (d_t/d_0) [(\chi^2_0 - \chi^2_t)/(\chi^2_0)].$$

Values of the PFI which approach one indicate a parsimonious model with high goodness of fit to the data. In practice, PFI values close to one are unlikely because parsimony and goodness of fit are logically interdependent and opposed qualities, with goodness of fit increasing as parsimony decreases (Mulaik et al., 1989). No empirical research has been conducted on the PFI.

## **Bollen's DELTA2**

Bollen (1989; 1990) proposes an index called DELTA2, which both controls for sample size effects, and rewards parsimonious models. DELTA2 is defined as:

$$DEL2 = (\chi^{2}_{0} - \chi^{2}_{t})/(\chi^{2}_{0} - df_{t})$$

It is not normed, but values very close to one are desirable, with values under one indicating poor fit and values over one indicating over-fitting of the model. DEL2 shows no sample size effect with both true and mis-specified models, and has low variability (Bentler, 1990; Bollen, 1989).

The three indices discussed so far are based on hierarchical model testing. Alternate fit indices exist, with different assumptions and methods of dealing with parsimony and sample size.

#### Goodness-of-fit index

A fit index developed using the discrepancy between the covariance matrix predicted by the model ( $\Sigma^{\circ}$ ) and the sample matrix S is the Goodness-of-Fit Index (Joreskog & Sorbom, 1984). It is purported to be independent of sample size and robust to violations of normality. The index functions by measuring the relative amount of variances and covariances accounted for by the model. It is defined as:

$$GFI = 1 - \{ [tr(\Sigma^{-1}S - I)^2 / [tr(\Sigma^{-1}S)^2] \}$$

for maximum likelihood. A similar version exists for unweighted least squares (Joreskog & Sorbom, 1984). Sample size effects for the GFI have been repeatedly found (Anderson & Gerbing, 1984; Marsh et al., 1988; Wheaton, 1987) and the size of GFI depends on its estimation method (La Du & Tanaka, 1989). In its favor, the GFI appears to be unaffected by violations of normality (c.f. Gerbing & Anderson, 1992).

#### Adjusted goodness-of-fit index

Although the GFI is touted to be independent of sample size, it does not reward parsimonious models. The Adjusted GFI includes a penalty function for extra parameters in order to reward parsimonious models (Marsh et al., 1988). It is written as:

 $AGFI = 1 - [p \times (p + 1)/2df] \times (1 - GFI).$ 

For both the GFI and the AGFI, values close to the upper bound of one are desirable, indicating good fit (Joreskog & Sorbom, 1984). Values can go slightly negative for very badly fitting models. Like the GFI, the AGFI suffers from sample size effects (Anderson & Gerbing, 1984; Marsh et al., 1988) but not from violations of normality (Gerbing & Anderson, 1992).

#### Scaled Satorra-Bentler index

Another index designed to handle multivariate non-normality in data is the scaled statistic of Satorra and Bentler (SSB), which is part of the EQS program that analyses SEM models (Bentler, 1993). The reader is referred to Chou, Bentler, & Satorra (1991) for its very technical formula. When the data are severely non-normal, such as having high levels of skew and kurtosis, or dependency among latent factors and unique variates, the SSB performs very well (Hu, Bentler, & Kano, 1992; Chou et al., 1991), although it tends to over-reject models at small sample sizes (Hu et al., 1992).  $\chi^2$  statistics based on normal theory estimation are fairly robust when non-normality is not severe (Hu et al., 1992).

## <u>Non-central $\chi^2$ indices</u>

A new class of indices have been developed using the non-central  $\chi^2$  distribution (Bentler, 1990). This distribution differs from the central  $\chi^2$  distribution in that the means of the sum of squares may be different from zero and the size of that difference is defined by the non-centrality parameter  $\delta$  (Saris & Stronkhorst, 1984). McDonald and Marsh (1990) show that the  $\chi^2$  value of a model is distributed as non-central  $\chi^2$ , with  $\delta$  defined as:

$$\mathbf{h}(\mathbf{\theta}_{s} - \mathbf{\theta}_{t})\mathbf{J}(\mathbf{\theta}_{s} - \mathbf{\theta}_{t}).$$

 $\theta$  is the matrix of free parameters and J is Fisher's information matrix. If the fit of the model is perfect, as with a saturated model, the means of the sum of squares will be 0 and consequently  $\delta$ will be 0. If model fit is imperfect, then  $\delta > 0$ , with larger values indicating greater model misspecification (Bentler, 1990).

McDonald and Marsh (1990) rescaled  $\delta$  by dividing it by n to yield  $\delta^*$ . This Rescaled Noncentrality Parameter (RNP) is estimated without bias by:

$$RNP = d_t = (\chi^2_t - df)/n.$$

They propose that RNP be considered a fit index, with values approaching 0 indicating better fit. They also report a fit index by McDonald in which the RNP is transformed into a normed measure of centrality, which is estimated by:

 $MMC = \exp[-(1/2)RNP.$ 

McDonald's Measure of Centrality (MMC) is normed to range from zero to one, with values approaching one indicating better fit.

Bentler (1990) proposed a non-central fit index based on nested models, in which the model of theoretical interest,  $M_t$ , is compared to the null model,  $M_0$ . Bentler's Fit Index is defined as:

BFI = 1 -  $Q_t/Q_0$  where  $Q = \chi^2/n$ .

Values of the BFI which approach one indicate very good fit, but it can fall outside the 0-1 range. He proposed another index which must be in the range of 0-1. This Comparative Fit Index is defined by:

 $CFI = 1 - [max(\delta_t, 0)] / [max(\delta_0, \delta_t, 0)]$ 

with values approaching one indicating good fit. The CFI and RNP are algebraically equivalent, except when df >  $\chi^2$  (Goffin, 1993). The MMC and CFI behave very similarly to DEL2, showing no sample size effect with both true and mis-specified models and having low variability (Bentler, 1990).

#### Other fit indices

The 10 indices which were just described represent a subsample of the available fit indices. They are used and have been studied empirically in Monte Carlo simulations. Other indices are reformulations or scalings of these indices. For example, the incremental fit indices fall into two general forms (Marsh et al., 1988), given respectively as:

Type 1 indices = |t - o|/Max(t, o),

Type 2 indices = |t - o|/(e - o),

where t is the value of a fit index, o is the value for the null model, and e is the expected value of the fit index if the model is actually true. These forms, and others like the parsimony index  $(d_t/d_0)$  of James et al. (1982), can be used to reformulate the indices discussed above. Some reformulations based on Type 2 indices are independent of sample size and can be recommended for use (Marsh et al., 1988).

## Recommendations for use

Despite its faults, the  $\chi^2$  and its p value should continue to be reported (Gerbing & Anderson, 1992), as the discrepancy between  $\Sigma^{-}$  and S is distributed as  $\chi^2$ . The fit indices that are useful for freedom from sample size effects are the TL, DEL2, MMC, and CFI. The PFI should be included when assessing fit in order to help identify the most parsimonious model with

the best fit. Under conditions of non-normality, the GFI and AGFI would be quite useful, even though statistics based on normal theory estimation are fairly robust when non-normality is not severe (Hu et al., 1992). When there is severe non-normality in the data, the SSB is the only defensible index. The GFI and AGFI were not reported in this study, as the computer program used in analysis (EQS) did not compute these values. The SSB was also not reported because it was not computed by the program, and because it was not necessary, as the data were not severely non-normal.

## Model Modification

A common result upon fitting a model to data is to discover that it fits poorly according to various indices. This poor fit can be improved by freeing one or more fixed parameters in the model. In the extreme case, so many fixed parameters are freed that the model becomes saturated and fits perfectly. The alternate approach is to fix trivial free parameters so that the gain in degrees of freedom offsets the minimal increase in fit function (Chou & Bentler, 1990). The methods of detecting which parameter to free or fix will be surveyed before discussing the benefits and hazards of model modification.

#### Normalized residuals

The normalized residuals between the predicted covariance matrix  $\Sigma^{n}$  and the sample matrix S can indicate which parameter to free. A residual significantly different from zero may indicate problems with model specification, since the value predicted by the parameter in  $\Sigma^{n}$  differs from S.

### Hierarchically nested models

The logic of hierarchical model nesting discussed previously can be applied to detect model specification errors. A parameter fixed in one model can be freed in another, and the difference between models (D Test) evaluated as to whether changing the parameter resulted in a significant improvement in fit for the less constrained model.

## Estimated change

Several other techniques estimate the change in fit of the model if a given parameter is freed or fixed. The modification index (MI) in LISREL VI estimates the decrease in the fit function if a parameter is freed (Sorbom, 1989). The Wald (W) test and Lagrange Multiplier (LM) are available in EQS (Bentler, 1993) and are distributed as  $\chi^2$  variates, with r degrees of freedom when r parameters are being examined. The Wald test aids in model simplification by scrutinizing whether some free parameters can be fixed to zero. The LM test has the opposite function, examining whether some parameters fixed to be zero (or another value) can be freed. A Monte Carlo study showed the W and LM tests to be very similar to the D Test in hierarchical model testing, although the LM test returned incorrect results under some conditions (Chou & Bentler, 1990) and tends to overfit a model (Bentler & Chou, 1992). The W test can detect the erroneous results and identify the correct model (Chou & Bentler, 1990).

## Model Modification Application

The techniques for choosing which parameter to alter should be used with considerable caution. These techniques have the benefit of improving model fit and thus increasing the possibility that the modified model reflects the causal processes which produced the data. However, model modification has several hazards.

The first hazard is that theoretically meaningless parameters may be included in the model solely because they improve model fit. Despite admonitions that only theoretically valid modifications be made (e.g., Saris & Stronkhorst, 1984), researchers may be tempted to contrive a theoretical justification for a modification (Steiger, 1990) or may even ignore making a theoretical justification (Bollen, 1990; MacCallum, Roznowski, & Necowitz, 1992). To illustrate, 37 modifications were made to one model (Newcomb, Huba, & Bentler, 1986), a number that destroys theoretical credibility.

A second problem is that modification indices are rather unreliable in detecting true misspecification (Kaplan, 1988), an effect which increases as mis-specification becomes more severe (MacCallum, 1986). Thus, data-driven modifications will not necessarily correct for poor specification of the theoretical model.

A third difficulty is that capitalization on chance can easily occur with the large number of parameters in complex models (Cliff, 1983). Modifications may be due to the many parameters picking up on chance characteristics of the sample used in the analysis. This tendency is highly problematic in small samples, which show very unreliable and incorrect modifications (MacCallum, 1986). Capitalization on chance is also more likely after a sequence of modifications have been made. Since early modifications correct for large misfit and later modifications correct for small misfit, the later modifications may be due to chance characteristics of the sample (MacCallum et al., 1992; but see Bollen, 1990). Unfortunately, theoretically necessary modifications may be made late in the sequence, even when the model has a non-significant  $\chi^2$  value (MacCallum, 1986). One cannot have a short modification sequence to protect against capitalization on chance and still be confident that all necessary modifications have been made.

The final problem is that modifications are post-hoc analyses which are tested on a confirmatory basis (Cliff, 1983). The probability distributions and goodness-of-fit values do not apply, as they were derived for confirmatory testing. Mistakenly, the modified models are tested on these distributions. Post-hoc protective techniques (like the Scheffe test) would make post-hoc analysis defensible, but no such technique exists for SEM (Steiger, 1990).

The desirability of modifying a model to increase its fit is tempered by issues of theoretical meaningfulness, reliability of the modification indices, capitalization on chance, and statistical problems associated with post-hoc analysis. These issues caution against modifying a poorly fitting initial model (which is very frequently the case). However, if one does not modify a poorly

fitting initial model, one cannot know whether the model may reflect the causal processes. There are two methods for dealing with a poorly fitting initial model, cross-validating a model and specifying multiple initial models. Each method will be reviewed next.

#### Model Evaluation through Cross-Validation

#### Double sample cross-validation

Cliff (1983) recommends that a researcher split the data in half, fit and modify a model on sample a and then cross-validate the model on the unused half of the data, or validation sample b. The modified model can be legitimately applied to the validation sample, as the sample data did not influence the modification of the model. When a model developed on sample a (Ma) is applied to sample b (Sb), the resulting  $\chi^2$  value can indicate whether Ma has acceptable fit in Sb (Cudeck & Browne, 1983). A double cross-validation is suggested, where the free parameters of the model are estimated in sample b (Mb) and applied to sample a (Sa). When various models are tested, the model with the lowest cross-validation indices for both sets of data can be regarded as the one with the greatest predictive validity.

The preceding strategy is tight cross-validation, as all the parameter values from sample a are applied unchanged to sample b (MacCallum, Roznowski, Mar, & Reith, 1994). An alternative strategy is to fix all weights in linear equations and to re-estimate variances and covariances in the second sample (fixed weights strategy). Alternatively, one could fix all parameters reflecting structure among both measured and latent variables, and re-estimate the variances and covariances of error and disturbance terms (fixed structure). Another option is to fix the loadings of the measured variables onto their latent variables and to re-estimate all other parameters (fixed loadings). Finally, one could re-estimate all model parameters (loose cross-validation). All cross-validation strategies provide a method for evaluating the overall discrepancy resulting from the parameters which are fixed when applied to sample b.

When double sample cross-validation has been empirically studied, tight cross-validation shows clear sample size and parsimony effects. At small sample sizes, simple models are chosen as they have the lowest value of the discrepancy function. With increasing sample size, more complex models are chosen (Browne & Cudeck, 1989; Camstra & Boomsma, 1992; Cudeck & Browne, 1983; MacCallum et al., 1994). Fixed structure and fixed weights strategies show the same pattern, while fixed loadings and loose cross-validation strategies select the most complex model regardless of sample size (MacCallum et al., 1994).

#### Single sample cross-validation

The most serious drawback to double sample cross-validation is the need to split the sample, thereby losing valuable statistical power. The Akaike Information Criteria (AIC; 1974) and Schwartz Information Criteria (SIC; 1978) have been proposed as single sample indices which yield the same information as tight double sample cross-validation (Cudeck & Browne, 1983). A single sample cross-validation index (SSC) has been proposed which is mathematically equivalent to AIC when maximum likelihood is used in conditions of multivariate normality (Browne & Cudeck, 1989). Unfortunately, the AIC and SSC select less parsimonious models as sample size increases, until they select the saturated model in the largest sample (Browne & Cudeck, 1989; 1992). Therefore, they will not be discussed further.

A rather unhappy sense of deja-vu emerges at this point. Modifying a poorly fitting model was problematic for various reasons. Cliff (1983) suggested modifying a model until good fit was achieved and then using tight double sample cross-validation to test the model. However, empirical testing revealed both parsimony and sample size effects in both double and single sample cross-validation. These effects may be due to the use of  $\chi^2$  to evaluate double sample crossvalidation. Since sample size and parsimony effects occur with  $\chi^2$ , it is unsurprising that these effects emerge when it is used in tight cross-validation. If an alternate fit index is used that controls for one or both effects, such as the DEL2 or CFI, then tight cross-validation could be a viable test of a modified model.

#### **Evaluation of Multiple Models**

An alternative approach to data-driven modification of an initial model is to specify several theoretically plausible initial models and test each one (McDonald & Marsh, 1990). The model with the best fit to the data would be accepted as a possible explanation for the causal processes. Each model would be independently tested by the data, avoiding the problems of post-hoc modification. Although testing multiple models increases the risk of Type I error, such error is quite implausible in large samples because the high statistical power virtually ensures that models will be rejected.

#### Model Acceptance

Once a theoretically plausible model has been selected by modification and cross-validation, or from a group of plausible initial models, then several cautions must still be observed in drawing conclusions from the model, especially if it was derived from cross-sectional data. The first caution is that SEM tests models which are hypothesized to reflect the causal processes which produced the data (Baumrind, 1983). If a model fits the data well, that does not prove that the causal processes have been irrefutably discovered. Rather, it only means that the hypothesis was not disconfirmed by the data. One cannot draw strong conclusions about causal processes unless well-controlled longitudinal or experimental research has been conducted. Second, several other as yet unspecified models may fit the data as well as or better than the selected model (Breckler, 1990).

The third caution is that, for any selected model, it is often possible to generate a number of models with different causal structures that all have identical fit to the data (Stelzl, 1986). These different models can be produced by inverting the causal order of variables or by replacing paths between variables by correlated residuals (Lee & Hershberger, 1990; Stelzl, 1986). The number

of equivalent models can be very large. In one study, there were shown to be  $1.19 \times 10^{18}$  equivalent models with exactly the same goodness of fit (MacCallum, Wegener, Uchino, & Fabrigar, 1993). However, the equivalent model issue is considered less serious in new areas of inquiry, as theoretical positions are emerging and a set of plausible models is an advance over what previously existed.

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Appendix F

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Measurement Model used in SEM Analysis

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# Appendix G

## Means, Standard Deviations, and Correlations of Variables Used in Data Analysis

	Mean	S.D.	Age	Educatio	Readinfo	Formeduc	Jobiact	Coworkmi
Age	43.147	17.073	1.000					
Educatio	8.329	2.473	-0.330	1.000				
Readinfo	1.765	0.425	-0.168	0.201	1.000			
Formeduc	1.235	0.425	-0.244	0.416	0.217	1.000		
Jobiact	1.235	0.425	-0.206	i 0.184	0.240	0.338	1.000	
Coworkmi	1.235	0.425	-0.047	0.190	0.217	0.159	0.103	1.000
Friendmi	1.333	0.472	0.000	0.157	0.198	0.191	0.156	0.242
Livenfcy	1.235	0.425	-0.110	0.105	0.119	0.259	0.261	0.057
Beenfcy	1.440	0.497	-0.064	0.200	0.209	0.296	0.328	0.131
Famymemb	1.325	0.469	-0.016	0.121	0.200	0.099	0.109	0.202
Ihadprob	1.121	0.326	-0.090	0.073	0.161	0.135	0.085	0.135
Onsetcon	-0.061	2.096	-0.010	-0.031	-0.053	-0.051	-0.076	0.014
Offsetco	-0.061	2.096	0.064	-0.001	-0.103	-0.034	-0.078	-0.021
MIdanggp	3.011	0.931	0.080	-0.023	-0.036	0.004	-0.094	0.025
KnMIdang	2.599	1.069	0.028	0.020	-0.057	0.064	0.002	0.025
Fear	3.875	2.217	-0.038	0.002	-0.067	-0.027	-0.062	-0.034
Liking	5.409	1.803	-0.011	-0.048	0.098	0.019	0.100	0.077
Pitysymp	6.917	1.986	0.337	-0.174	-0.094	-0.145	-0.172	-0.124
Blame	2.744	1.986	-0.055	-0.046	0.016	0.052	0.122	0.074
Anger	1.906	1.524	-0.136	0.032	0.009	0.079	0.098	0.069
Welcome	2.230	0.744	0.075	-0.127	-0.107	-0.087	-0.115	-0.139
Workw	2.140	0.685	0.133	-0.146	-0.105	-0.135	-0.172	-0.107
Nearby	2.415	0.926	0.068	-0.052	-0.116	-0.025	-0.103	-0.082
Hire	2.278	0.746	0.059	-0.136	-0.123	-0.123	-0.113	-0.126
Rentto	2.387	0.806	0.172	-0.113	-0.116	-0.099	-0.099	-0.102
Nextdoor	2.488	0.918	0.188	-0.122	-0.206	-0.164	-0.181	-0.153
Roombord	3.255	1.007	0.086	-0.021	-0.111	-0.049	-0.066	-0.128
Onstreet	2.336	0.834	0.106	-0.104	-0.135	-0.128	-0.184	-0.097
Date	2.936	0.983	0.205	-0.112	-0.170	-0.104	-0.063	-0.154
Marry	3.075	1.024	0.261	-0.135	-0.150	-0.135	-0.134	-0.154
Iloving	3.213	0.997	0.155	-0.162	-0.144	-0.162	-0.086	-0.201
Mtidcont	3.803	0.767	-0.220	0.353	0.570	0.677	0.450	0.214
Mtsocont	2.842	0.660	-0.133	0.267	0.329	0.346	0.647	0.690
Mtitcont	2.413	0.574	-0.049	0.160	0.260	0.186	0.157	0.265
CompensL	0.089	0.171	0.080	0.003	-0.024	-0.036	-0.068	0.031
EnlightL	0.103	0.199	-0.010	-0.038	0.028	-0.017	-0.037	0.061
MedicalL	0.238	0.297	-0.024	-0.012	0.071	0.019	0.117	-0.031
MoralL	0.183	0.311	-0.017	-0.040	-0.055	-0.050	-0.031	-0.047
Threat	2.729	1.375	0.020	-0.042	-0.080	-0.062	-0.136	-0.075
Attack	2.496	1.356	0.039	-0.073	-0.066	-0.033	-0.078	-0.067
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	Friendmi	Livenfcy	Beenfcy	Famymemb	Ihadpr	ob Onsetcon
Friendmi	1.000	-	-	-	-	
Livenfcy	0.057	1.000				
Beenfcy	0.265	0.277	1.000			
Famymemb	0.232	0.111	0.326	1.000		
Ihadprob	0.218	0.058	0.203	0.306	1.000	
Onsetcon	0.083	-0.043	-0.021	-0.022	-0.004	1.000
Offsetco	0.034	-0.042	-0.067	-0.086	-0.097	0.429
MIdanggp	-0.017	-0.051	-0.036	-0.017	-0.031	0.010
KnMIdang	-0.004	0.043	-0.007	-0.038	-0.013	-0.062
Fear	-0.063	-0.063	-0.099	0.009	-0.041	-0.043
Liking	0.148	0.084	0.067	0.049	0.038	0.078
Pitysymp	0.002	-0.072	-0.117	-0.011	-0.079	0.016
Blame	0.034	0.008	0.039	-0.034	0.054	0.348
Anger	0.035	0.054	-0.023	-0.021	-0.031	0.178
Welcome	-0.161	-0.087	-0.141	-0.150	-0.157	0.003
Workw	-0.126	-0.111	-0.175	-0.142	-0.131	-0.076
Nearby	-0.125	-0.087	-0.099	-0.104	-0.092	-0.025
Hire	-0.113	-0.116	-0.140	-0.081	-0.063	-0.142
Rentto	-0.121	-0.104	-0.119	-0.084	-0.077	-0.087
Nextdoor	-0.118	-0.084	-0.193	-0.072	-0.142	-0.033
Roombord	-0.137	-0.022	-0.069	-0.038	-0.096	-0.095
Onstreet	-0.126	-0.107	-0.108	-0.099	-0.150	-0.039
Date	-0.111	-0.057	-0.066	-0.106	-0.104	0.011
Marry	-0.090	-0.123	-0.089	-0.106	-0.168	-0.023
Tloving	-0.156	-0.067	-0.107	-0.127	-0.186	0.024
Mtidcont	0.277	0.633	0.717	0.289	0.216	-0.063
Mtsocont	0.660	0.192	0.360	0.268	0.213	0.005
Mtitcont	0.588	0.111	0.377	0.808	0.706	0.015
Compensi	-0.055	-0.004	-0.009	0.005	-0.056	-0.267
EnlightL	-0.002	0.014	0.028	0.039	0.084	0.416
Medicall	-0.039	0.043	0.042	0.063	0.050	-0.662
Morall	0.062	-0.051	~0.041	-0.029	-0.016	0.615
Threat	-0.134	-0.052	-0.156	-0 124	-0 131	-0 019
Attack	-0 136	-0.047	-0 149	-0 162	-0 074	
Accuer	-0.130	01047	0.117	0.102	-0.074	-0.014
	Offsetco	MIdanggo	KnMIdang	Fear	Liking	Pitysymp
Offsetco	1.000		<b>-</b>			1-1-4-4
MIdanggo	-0.050	1.000				
KnMIdang	-0.062	0.483	1,000			
Fear	0.047	0.178	0.130	1.000		
Liking	0.122	-0.174	-0.149	-0.076	1.000	
Pitysymp	0.037	0.085	0.023	0.210	0.041	1.000
Blame	0.258	0,108	0.089	0.187	-0.080	-0.084
Ander	0.087	0.039	0.071	0.261	-0.095	-0.103
Welcome	0 021	0 230	0.141	0 171	-0 204	0.103
WARE	-0 044	0.234	0 114	0 210	-0 227	0.031
Nesthu	-0.014	0.234	0 1 20	0.210 A 191	-0.221	0.073
nearnà	-0.013	0.131	0.100	0.101	-0.20%	0.133
Bentto	-0.153	0.205	0.104	0.100	-0.201	0.072
VEULCO	-0.003	0.230	0.IJI	0.1/2	-0.23/	A.TT2

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Nextdoor	0.074	0.130	0.068	0.249	-0.144	0.154
Roombord	-0.036	0.233	0.192	0.142	-0.381	0.020
Onstreet	-0.001	0.230	0.141	0.219	-0.243	0.127
Date	0.021	0.225	0.174	0.200	-0.203	0.170
Marry	-0.019	0.259	0.136	0.175	-0.160	0.124
Iloving	0.055	0.205	0.125	0.081	-0.241	0.054
Mtidcont	-0.093	-0.046	0.017	-0.100	0.102	-0.165
Mtsocont	-0.036	-0.044	0.013	-0.078	0.160	-0.154
Mtitcont	-0.082	-0.030	-0.029	-0.035	0.098	-0.042
CompensL	0.361	0.029	0.009	-0.004	0.033	0.004
EnlightL	-0.274	0.024	0.004	-0.024	-0.027	-0.013
MedicalL	-0.620	0.014	0.045	0.040	-0.045	-0.008
MoralL	0.700	-0.042	-0.100	-0.030	0.129	0.017
Threat	-0.043	0.269	0.160	0.269	-0.188	0.065
Attack	-0.041	0.251	0.173	0.252	-0.169	0.078
	Blane	2			Neeshaa	<b>11</b> i
Blame		Anger	MST COME	WOIKW	Neardy	MITE
Anger	0 433	1 000				
Welcome	0.433	1.000	1 000			
Worky	0.093	0.137	1.000	1 000		
Nearby	0.030	0.137	0.01/	1.000	1 000	
Hire	0.191	0.144	0.030	0.543	1.000	1 000
Pentto	0.191	0.130	0.470	0.525	0.392	1.000
Nextdoor	0 106	0.171	0.346	0.336	0.335	0.343
Roombord	0.100	0.030	0.303	0.435	0.390	0.297
Onstreet	0.052	0.100	0.399	0.301	0.450	0.411
Date	0.074	0.137	0.316	0.307	0.692	0.410
Marry	0.065	0.032	0.450	0.403	0.450	0.400
Tloving	0.057	0 007	0 316	0.301	0.334	0.302
Mtidcont	0.045	0.043	-0 164	~0.303	-0.125	_0 194
Mtsocont	0 118	0 103	-0.206	-0.204	-0.123	-0.134
Mtitcont	0.016	-0.014	-0.200	-0.203	-0.133	-0.176
' Compensi.	-0.021	0 012	-0.210	-0.107	-0.140	-0.045
Enlighti.	-0.100	-0.086	-0.040	-0.050	-0.082	-0.045
Medicall.	0.348	0.000		0.032	-0.039	-0.055
Moral L	-0.192	-0 138	0 017	-0.027	-0.023	_0.134
Threat	0.133	0.240	0.443	0.444	0.502	0 361
Attack	0.151	0.217	0.420	0.474	0.454	0.352
			-			
	Rentto	Nextdoor	Roombord	Onstre	et Date	e Marry
Rentto	1.000					
Nextdoor	0.419	1.000				
Roombord	0.487	0.295	1.000			
Onstreet	0.538	0.465	0.400	1.000		
Date	0.512	0.376	0.516	0.546	1.000	
Marry	0.386	0.267	0.353	0.405	0.527	1.000
Iloving	0.394	0.265	0.456	0.390	0.505	0.434
Mtidcont	-0.168	-0.249	-0.096	-0.182	-0.149	-0.188
Mtsocont	-0.161	-0.229	-0.164	-0.204	-0.164	-0.192
Mtitcont	-0.127	-0.148	-0.114	-0.170	-0.148	-0.170

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CompensL	-0.028	0.040	-0.023	-0.001	-0.048	-0.028
EnlightL	-0.013	-0.034	-0.086	-0.025	-0.047	-0.052
MedicalL	0.110	-0.011	0.066	0.032	0.033	0.033
MoralL	-0.052	0.009	-0.059	0.003	0.040	-0.019
Threat	0.409	0.304	0.318	0.459	0.334	0.289
Attack	0.439	0.324	0.293	0.470	0.355	0.282

	Iloving	Mtidcont	Mtsocont	Mtitcont	Compens	sL EnlightL
Iloving	1.000					-
Mtidcont	-0.183	1.000				
Mtsocont	-0.221	0.473	1.000			
Mtitcont	-0.213	0.365	0.487	1.000		
CompensL	-0.013	-0.027	-0.044	-0.041	1.000	
EnlightL	-0.013	0.021	0.012	0.060	-0.086	1.000
MedicalL	-0.035	0.066	0.027	0.046	-0.185	-0.205
MoralL	0.030	-0.075	-0.013	-0.003	-0.127	-0.141
Threat	0.229	-0.138	-0.171	-0.179	-0.020	0.013
Attack	0.248	-0.117	-0.137	-0.177	-0.033	0.027

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	MedicalL	MoralL	Threat	Attack
MedicalL	1.000			
MoralL	-0.303	1.000		
Threat	-0.006	-0.076	1.000	
Attack	0.006	-0.049	0.785	1.000

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